



## Research Paper

# Broadening the microstructure regime of Al<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> hypereutectic ceramic fabricated via laser powder bed fusion

Kai Zhang<sup>a,\*</sup>, Shurui Li<sup>a</sup>, Tingting Liu<sup>a,\*\*</sup>, Zhiwei Xiong<sup>a</sup>, Zhiguang Zhu<sup>a</sup>, Yang Zhang<sup>a</sup>,  
Abid Ullah<sup>b,c</sup>, Wenhe Liao<sup>a</sup>

<sup>a</sup> School of Mechanical Engineering, Nanjing University of Science and Technology, Nanjing, 210094, China

<sup>b</sup> Centre for Additive Manufacturing, School of Engineering, RMIT University, Melbourne, VIC, 3000, Australia

<sup>c</sup> Institute of Laser and System Technologies, Hamburg University of Technology, Hamburg, 21079, Germany



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## ABSTRACT

The microstructure and mechanical property regime of laser powder bed fusion fabricated Al<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> hypereutectic ceramic samples were thoroughly investigated by tailoring the printing parameters. The findings indicate that both the hypereutectic and eutectic microstructure are obtained depending on the varying printing parameters. The ZrO<sub>2</sub> dendrites in the hypereutectic structure gradually refine as the laser energy density increases, while the surrounding eutectic structure evolves continuously. The uniform eutectic microstructure is developed until the dendrites disappear. Simultaneously, it is observed that coarse Al<sub>2</sub>O<sub>3</sub> particles were formed in the overlap part of the eutectic structure where the laser energy is higher. In terms of mechanical properties, the samples with alumina particles in the eutectic microstructure have a maximum hardness of 1616.13 HV, while the sample with uniform eutectic microstructure has the highest fracture toughness of 5.87 MPa·m<sup>1/2</sup>. These findings can contribute to the introduction of a unique microstructure in Al<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> ceramic.

## 1. Introduction

Al<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> composite ceramics combine the high strength of Al<sub>2</sub>O<sub>3</sub> concurrent with the good toughness of ZrO<sub>2</sub>, resulting in excellent mechanical properties across a wide temperature range, including room and elevated temperatures. As advanced high temperature structural materials, they possess great potential for usage in high performance aero-engines and heavy-duty gas turbines. Traditional sintering processes of Al<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> ceramics such as spark plasma sintering (SPS) [1, 2], microwave-assisted sintering [3], and oscillatory pressure sintering [4] have proven to be effective methods for achieving uniform microstructures [5,6]. In contrast, solidification techniques of Al<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> ceramics such as micro-pulling down [7], Bridgman [8], floating zone (FZ) [9,10], and laser-based additive manufacturing (AM) technologies [11–14] have resulted in unique interwoven and interspersed microstructures [15]. In recent years, these melt solidification methods have attracted considerable interest in studying the microstructural evolution of AM parts [16–22].

In the domain of advanced ceramic manufacturing, laser-based AM

technologies, including laser powder bed fusion (L-PBF) and direct energy deposition (DED), have shown promise for the fabrication of Al<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> ceramics because they can overcome the material's high melting temperature to achieve fine and uniform microstructures apart from fabricating intricate-shaped components [23–27]. To date, most of the research focuses on the DED technology [28,29] to fabricate ceramic components. Su et al. [30–33] investigated the large-scale Al<sub>2</sub>O<sub>3</sub>/GdAlO<sub>3</sub>/ZrO<sub>2</sub> ternary eutectic ceramic rod by laser DED, where the colonies and banded structures were restrained by heat treatment. Moreover, the most critical influencing factor of periodic strip thickness is the feeding rate. Subsequently, the process was optimized and improved using ultrasonic, which resulted in a desirable surface morphology. Wu et al. [34] found the periodic banded structure in the laser-engineered net shaping (LENS) fabricated Al<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> eutectic ceramic, which affects microstructure uniformity. Besides, the formation, evolution, and elimination of cracks during the ceramic fabrication process were investigated [35]. In comparison to the research work conducted on ceramics fabrication using DED, there has been minimal investigation into fabricating Al<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> ceramics via L-PBF.

\* Corresponding author.

\*\* Corresponding author.

E-mail addresses: [zhangkai@njust.edu.cn](mailto:zhangkai@njust.edu.cn) (K. Zhang), [liutingting@mail.njust.edu.cn](mailto:liutingting@mail.njust.edu.cn) (T. Liu).

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In terms of composition, the eutectic composition has been extensively studied for its industrial relevance and unique properties, including good thermal stability and mechanical properties. Whereas the hypoeutectic and hypereutectic regions have drawn less attention. However, investigating the solidification behavior of  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  ceramics with a broader compositional landscape is essential to understand the “composition-microstructure” correlation during L-PBF and, consequently, to customize the mechanical properties. Wu et al. [36,37] fabricated melt-grown  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  hypoeutectic, eutectic, and hypereutectic ceramics using DED technology. The study shows that a large number of hypereutectic microstructures were observed on functionally graded ceramics. However, only the compositional dependence on the microstructure evolution is studied and the influence of laser parameters on the evolution of hypereutectic microstructure is overlooked. Specifically, it is noteworthy that the full eutectic lamellar microstructure was obtained for the hypereutectic  $\text{Al}_2\text{O}_3/\text{Y}_3\text{Al}_5\text{O}_{12}/\text{ZrO}_2$  ceramic fabricated by the laser floating zone [38]. However, the detailed mechanism has not been discussed. Subsequently, a homogeneous and crack-free ternary nanostructured  $\text{Al}_2\text{O}_3/\text{YAG}/\text{ZrO}_2$  hypereutectic plate with a large surface is successfully produced by laser remelting [39]. The obtained results indicate that, under the same growth conditions, the interphase spacing of ternary hypereutectic is much smaller than that of ternary eutectic composition.

Evidently, there is still a gap in fabricating and understanding the microstructure evolution of  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  hypereutectic ceramics via L-PBF. Based on the  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  binary phase diagram, it is obvious that both  $\text{ZrO}_2$  content and temperature have a significant impact on the microstructure evolution. Therefore, two different hypereutectic compositions were used, and the resultant hypereutectic microstructures were analyzed to investigate the microstructure evolution mechanisms. In addition, the scanning speeds were set as the variables to broaden the microstructure regime of L-PBF fabricated  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  hypereutectic ceramics as well as their mechanical properties, including hardness and fracture toughness.

## 2. Materials and methods

### 2.1. Sample preparation

CT3000-SG  $\text{Al}_2\text{O}_3$  powders (obtained from Qingdao Almatix Co. Ltd., Qingdao, China, average particle size of 410 nm) and XD-1024-3  $\text{ZrO}_2$  powders (supplied from MCC Xindun Co. Ltd., Shanghai, China, average particle size of 273 nm) were the commercially available raw powders used in the present work. According to the eutectic ratio of  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  (58.5 wt %: 41.5 wt %), two hypereutectic ratios used in this research are 35 wt %: 65 wt % and 25 wt %: 75 wt %, respectively. We refer to ‘Z65’ as the mass fraction of 65%  $\text{ZrO}_2$  and ‘Z75’ as the mass fraction of 75%  $\text{ZrO}_2$ .

The slurry (ceramic paste) was used for the experiments during the L-PBF process, which ensures proper deposition of powder layers due to good flowability. The QM-3SP4 planetary ball mill (QM-3SP4, Guangzhou, China) was used at room temperature at a rotation speed of 150 rpm for 1 h. In this process, deionized water was used as the liquid media and mixed with the powder mixture in a ratio of 1 : 8 (wt: wt). The milling mode was 5 min of ball milling followed by a 1 min interval time. After wet grinding, the slurry suitable for the experiment was obtained.

The printing process was carried out using an in-house developed L-PBF system. The system was equipped with an IPG YLR-500 single-mode continuous wave fiber laser operating at a wavelength of 1064 nm with a maximum power of 500 W. Prior to initiating the process and directing the laser upon the powder, the  $\text{Al}_2\text{O}_3$  substrate was fixed and leveled onto the build platform. The slurry was uniformly distributed over the substrate with the help of the mounted movable scraper, and then the slurry was dried and preheated by induction heating during the experiment, and the handheld hot air gun was aimed at the slurry powder layer and dried at 200 °C for 30 s to evaporate the moisture. After

obtaining the dried powder bed, the laser scans and melts the selected area to complete the printing of one layer, and then the substrate descends a layer thickness distance (50  $\mu\text{m}$ ) with the work platform, and the laying slurry, drying, and printing are repeatedly completed, and finally, the formed block part is obtained.

A zig-zag type scanning strategy was used for the layer-by-layer fabrication of  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  hypereutectic ceramics. According to the previous reports [40,41] and research results by this lab, in order to obtain better forming quality to study the law of tissue evolution, the processing parameters for ceramics used in the experiment were as follows: laser power of 70 W and 90 W, scanning speeds of 40 mm/s - 120 mm/s with intervals of 20 mm/s, a layer thickness of 50  $\mu\text{m}$ , scanning space of 100  $\mu\text{m}$ , and the laser scanning path was rotated by 90° between adjacent layers. A schematic of the process is shown in Fig. 1.

### 2.2. Microstructure characterization

Phase formation of the powders and prepared samples were analyzed using an X-ray diffractometer (XRD, Bruker-AXS D8 Advance, Bruker, Germany). The analysis was performed using  $\text{CuK}_\alpha$  radiation with a current of 40 mA and voltage of 40 kV, at a scanning rate of 1°/min. The results were qualitatively analyzed using MDI Jade 6.0 software and the Inorganic Crystal Structures Database (ICSD) to obtain the phase formation in the printed samples. Due to the non-conductivity of the  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  sample, a platinum conductive film underwent coating on the sample surface using plasma sputtering (Leica ACE 200). The surface morphology and microstructure of the samples were examined using a field emission scanning electron microscope (SEM, FEI Quanta 250F, America) equipped with an energy dispersive spectrometer (EDS, Oxford Instruments, United Kingdom).

Hardness and fracture toughness measurements were conducted on the cross-sectional surfaces of the samples following the polishing process. Vickers hardness tester (HVS-1000Z, Shanghai Microcre Light-Mach Tech Co. Ltd., China) was conducted with a loading force of 9.8 N and a dwelling time of 10 s. Five indentations were randomly conducted on the surface of each sample. The fracture toughness of samples was measured by the micro indentation method. An optical microscope (OM, VK-X4, Keyence) and ImageJ software were used to measure the diagonal length of indentation and crack length produced by indentation corners. Vickers hardness (HV) is calculated by Eq. (1), and then fracture toughness ( $K_{\text{IC}}$ ) is estimated by Eq. (2).

$$\text{HV} = \frac{P}{F} = 1.8544 \frac{P}{(2a)^2} \quad (1)$$

$$K_{\text{IC}} = 0.016 \left( \frac{E}{\text{HV}} \right)^{1/2} \frac{P}{c^{3/2}} \quad (2)$$

where P is the loading force (N), F is the indentation area ( $\text{mm}^2$ ), a is half the length of the indentation diagonal (mm), c is the half length of the crack (mm), and E is elastic modulus (GPa). To calculate the fracture toughness using Eq. (2), the elastic modulus of the  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  is needed for substituting into the equation. In this paper, the elastic modulus of composites ( $E_{\text{C}}$ ) was calculated by Halpin-Tsai equation [37]:

$$E_{\text{C}} = E_{\text{Al}_2\text{O}_3} f_{\text{Al}_2\text{O}_3} + E_{\text{ZrO}_2} f_{\text{ZrO}_2} \quad (3)$$

where  $E_{\text{Al}_2\text{O}_3}$  is the elastic modulus of  $\text{Al}_2\text{O}_3$ ,  $E_{\text{ZrO}_2}$  is the elastic modulus of  $\text{ZrO}_2$ ,  $f_{\text{Al}_2\text{O}_3}$  is the volume fraction of  $\text{Al}_2\text{O}_3$ ,  $f_{\text{ZrO}_2}$  is volume fraction of  $\text{ZrO}_2$ . The specific values are shown in Table 1.

A thin slice or lamella is obtained from the sample through FIB milling (Helios G4 UX). The lift-out method involves transferring and attaching this slice onto a Transmission Electron Microscopy (TEM) grid. This allows for further analysis of the slice under TEM. A Transmission Electron Microscope with an acceleration voltage of 200 kilovolts (kV) is used for the structural investigation of interfaces. The FEI Talos F200X is

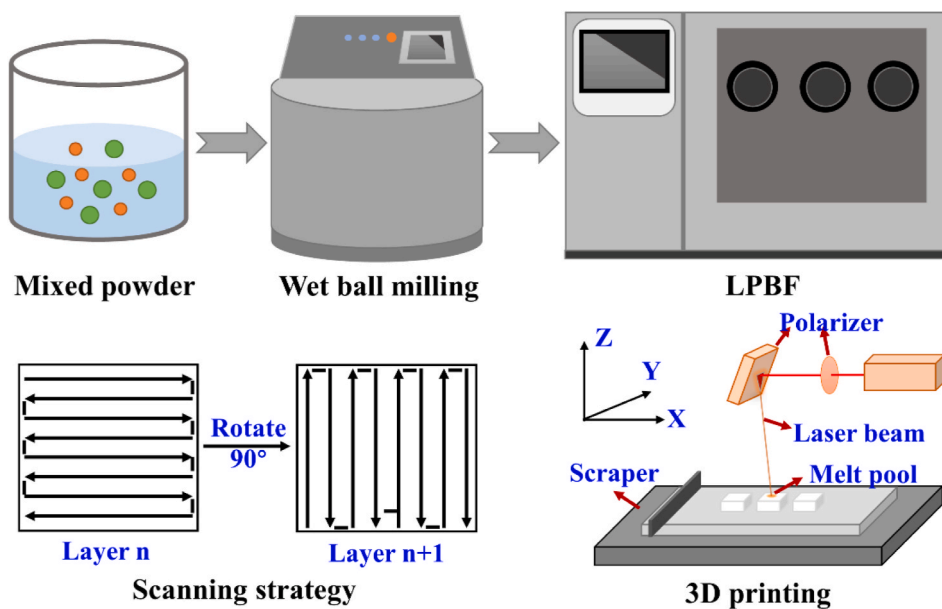


Fig. 1. The schematic diagram of the  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  eutectic ceramic samples preparation process.

**Table 1**  
Elastic modulus [37] and volume fraction of the component phases.

Composition phase	Elastic modulus (E, GPa)	Volume fraction (f, %)	
		Z65	Z75
$\text{Al}_2\text{O}_3$	390	24.37	16.63
$\text{ZrO}_2$	220	75.63	83.37

a specific model of TEM used in this study. The crystal orientation of the sample is investigated using Transmission Kikuchi Diffraction (TKD).

### 3. Results

#### 3.1. Morphology of initial powder

The experiments were carried out using CT3000 SG grade  $\text{Al}_2\text{O}_3$  powder with irregular flakes as shown in Fig. 2a. XD 1024-3 type  $\text{ZrO}_2$  is smaller in particle size ( $\sim 273$  nm) and has almost spherical shape, as

shown in Fig. 2b. The mixed powder was obtained after wet milling (Fig. 2c). Nanoscale  $\text{ZrO}_2$  powder could be seen sticking to the surface of the irregular flakes  $\text{Al}_2\text{O}_3$  powder. Elemental analysis was performed on the mixed powder, and EDS mapping of each element was obtained, as shown in Fig. 2d–f.

#### 3.2. Phase and microstructure

To investigate the phase evolution of the hypereutectic  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  ceramic, XRD analyses were performed on the mixed powders and Z65 samples. According to Fig. 3a, the mixed powders solely displayed the monoclinic phase of  $\text{ZrO}_2$  (m- $\text{ZrO}_2$ ) and  $\text{Al}_2\text{O}_3$  ( $\alpha\text{-Al}_2\text{O}_3$ ), indicating that there is no phase transition caused by ball milling. However, after the L-PBF process, the m- $\text{ZrO}_2$  transformed to the stabilized tetragonal  $\text{ZrO}_2$  (t- $\text{ZrO}_2$ ) which might have occurred due to temperature variations. The transformation from m- $\text{ZrO}_2$  to t- $\text{ZrO}_2$  typically occurs at temperatures between  $1100$  °C and  $1200$  °C, and it appears that the temperature during the L-PBF process exceeds this threshold. During the cooling process, t- $\text{ZrO}_2$  typically reverts to m- $\text{ZrO}_2$  from  $1000$  °C to  $850$  °C.

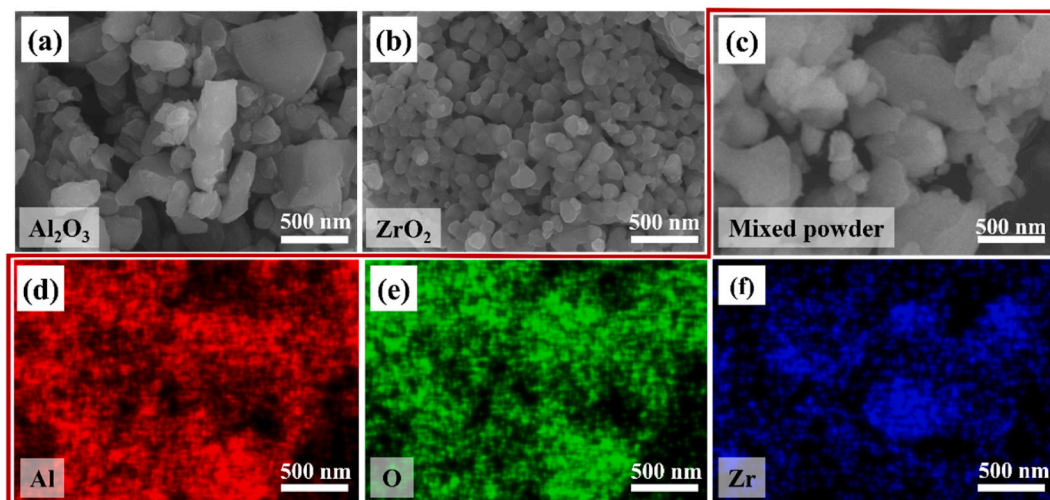


Fig. 2. SEM micrograph of starting powders: (a)  $\text{Al}_2\text{O}_3$ , (b)  $\text{ZrO}_2$ , (c)  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  powder after milling, (d) EDS mapping of Al element in mixed powder (figure c), (e) EDS mapping of O element in mixed powder (figure c), (f) EDS mapping of Zr element in mixed powder (figure c).

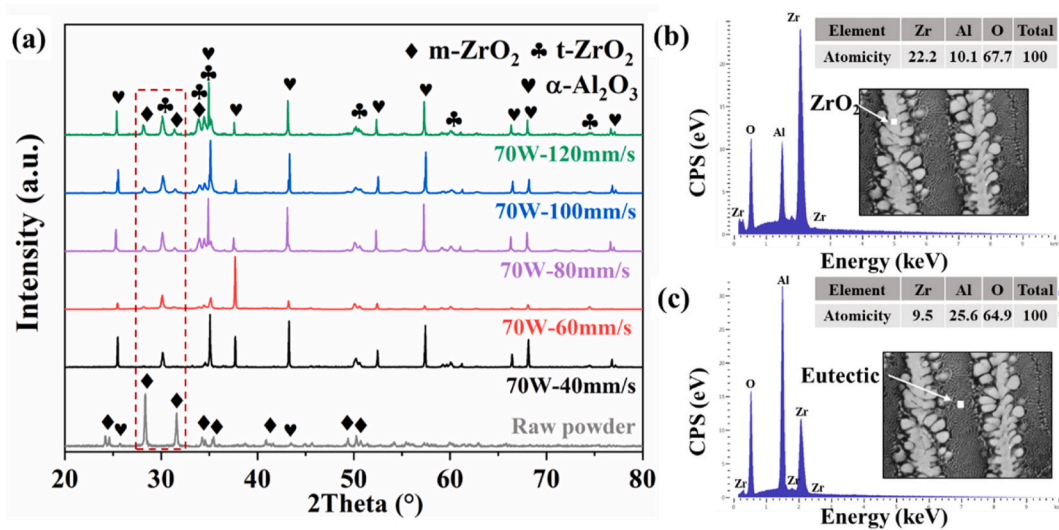


Fig. 3. (a) XRD patterns obtained from the mixture powder and Z65 samples, EDS analysis performed on (b) the white zone and (c) the grey zone of the Z65-70W-100 mm/s sample.

However, the XRD patterns showed a stable presence of t-ZrO<sub>2</sub>. This preservation of the metastable t-ZrO<sub>2</sub> phase may be attributed to the inhibition of the martensitic transformation of t-ZrO<sub>2</sub> to m-ZrO<sub>2</sub> due to insufficient thermal equilibrium time and energy caused by the rapid cooling rate [42].

As depicted by the red dashed rectangular outline in Fig. 3a, the presence of the monoclinic phase (m-ZrO<sub>2</sub>) was still apparent and the intensity of its peak increased with increasing scanning speed. This implies that higher scanning speeds lead to faster cooling rates and an increased concentration of m-ZrO<sub>2</sub>, which is inconsistent with the

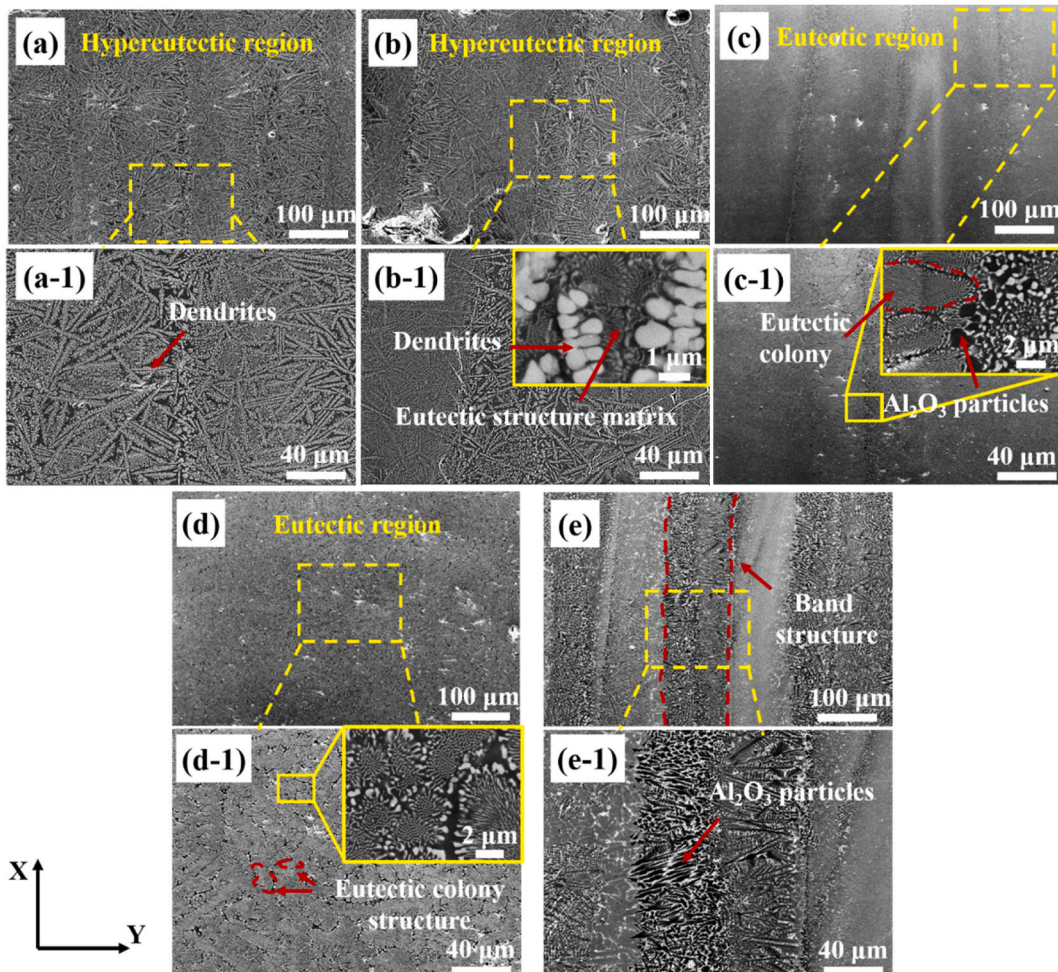


Fig. 4. SEM micrograph of Z65 samples in 70 W laser power: (a, a-1) 120 mm/s, (b, b-1) 100 mm/s, (c, c-1) 80 mm/s, (e, e-1) 60 mm/s, (f, f-1) 40 mm/s.

mechanism of  $t\text{-ZrO}_2$  conversion to  $m\text{-ZrO}_2$ . Therefore, it is assumed that the detected  $m\text{-ZrO}_2$  peaks in the samples represent the original  $m\text{-ZrO}_2$  which has not transformed into the tetragonal phase and remains in its original state. A lower laser energy resulting from the high scanning speed induces inadequate melting of  $m\text{-ZrO}_2$ . Combining the XRD pattern with the EDS results (Fig. 3b and c), it can be observed that the white phase corresponds to  $\text{ZrO}_2$ , while the grey region represents the eutectic structure in the hypereutectic microstructure.

Fig. 4 illustrates the cross-sectional microstructural properties of Z65 samples with 70 W laser power at various scanning speeds. At high scanning speeds 120 mm/s and 100 mm/s, with a lower laser energy density, the samples exhibit a typical hypereutectic morphology consisting of the eutectic structure matrix and discrete  $\text{ZrO}_2$  dendrites (Fig. 4a, a-1, b and b-1). That shows an inadequate energy input, lower melting, and faster cooling rates, all of which lead to the formation of dendrites and powder elongation. At 80 mm/s (Fig. 4c and c-1), the sample forms a compact eutectic colony structure and shows the presence of  $\text{Al}_2\text{O}_3$  particles. This indicates that the optimum melting shown in Fig. 4c and c-1 produced a rather smooth surface morphology. At 60 mm/s, the sample shows an almost uniform and homogeneous eutectic colony structure, with no  $\text{Al}_2\text{O}_3$  particles or  $\text{ZrO}_2$  dendrites (Fig. 4d and d-1). At the process parameter of 40 mm/s, the sample exhibits a distinct band structure with a width of  $107.5 \pm 8.7 \mu\text{m}$ , consisting of a significant amount of  $\text{Al}_2\text{O}_3$  particle structure (Fig. 4e and e-1) [29]. Figs. 4d-1 to e-1 show over melting of the powder and a slower cooling rate, which in some way caused the particles to evaporate and resulted in the formation of keyholes and surface roughness. This means that the energy intake is responsible for the formation of these structures, and as

the laser energy density increases, the microstructure gradually transforms from a hypereutectic structure to a eutectic structure. Furthermore, comparing Fig. 4a-1 and e-1 reveals that  $\text{Al}_2\text{O}_3$  particles have directional growth characteristics, whereas  $\text{ZrO}_2$  dendrites lack such characteristics.

Apart from influencing the phase formation, the laser energy density also affects the morphology. As observed in the 40 mm/s sample (Figs. 4e-1), high laser energy densities result in the formation of  $\text{Al}_2\text{O}_3$  particle structures, leading to microstructural heterogeneity. It is important to note that all Z65 samples were formed in the same experimental batch, ensuring uniformity of powder raw materials and experimental conditions. Therefore, the microstructure evolution can be attributed to the change in laser energy density.

Similar experimental results can also be observed for the Z75 samples. Fig. 5 shows the microstructural characteristics in cross section of Z75 samples at different scanning speeds. As same with Z65, the typical hypereutectic structure is formed when the laser energy density is low, and the microstructure evolved into eutectic structure with the laser energy density increases. The trend of decreasing  $\text{ZrO}_2$  dendrites can be observed. The difference is that the microstructure is completely transformed into the eutectic structure when the scanning speed is reduced to 60 mm/s in Z75, while it is already transformed when the scanning speed is 80 mm/s in Z65. Therefore, more details about the evolution of hypereutectic structure can be observed in Z75 120-80 mm/s samples.

Higher magnifications of various sections are displayed in Fig. 6a to explore the band structure of the Z65-70W-40 mm/s sample, which plainly reveals that zone b is located within the band region. The coarsening band region is formed by the coarsening eutectic structure

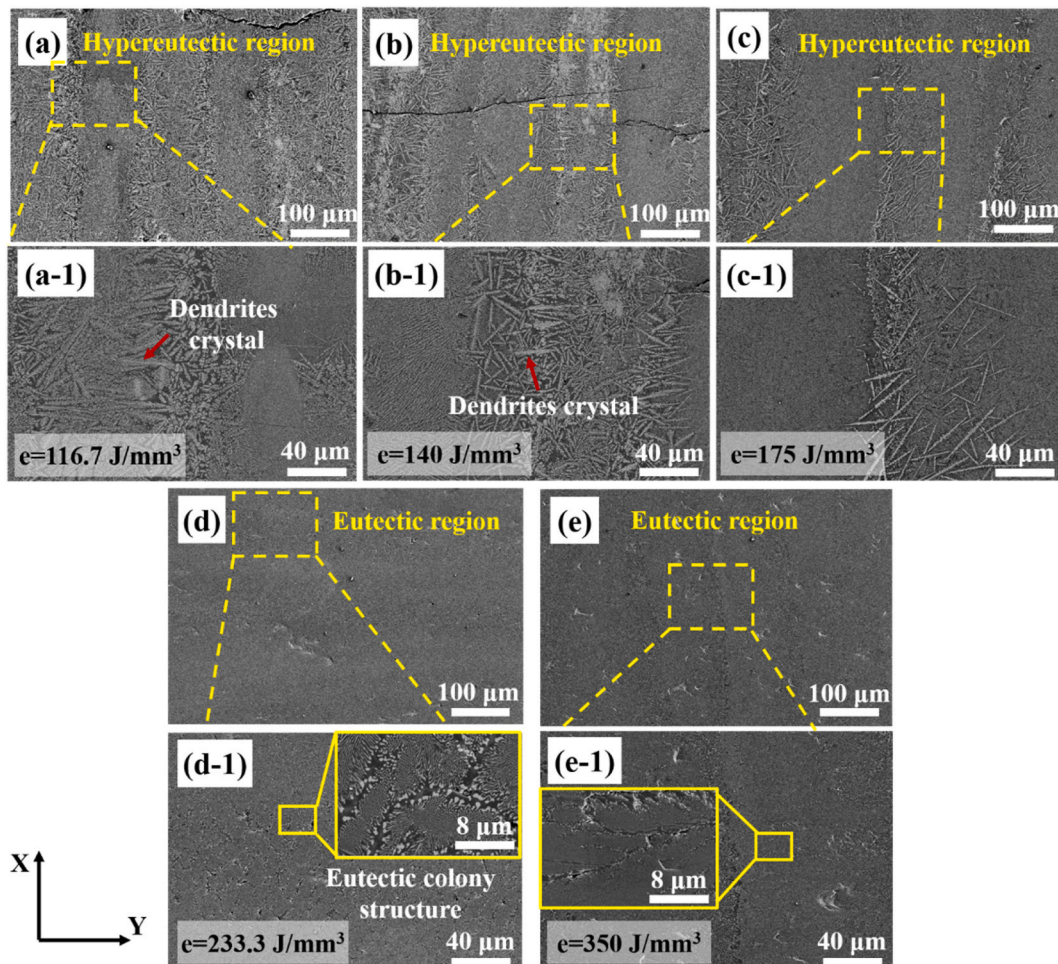


Fig. 5. SEM micrograph of Z75 samples: (a, a-1) 70 W-120 mm/s, (b, b-1) 70 W-100 mm/s, (c, c-1) 70 W-80 mm/s, (d, d-1) 70 W-60 mm/s, (e, e-1) 70 W-40 mm/s.

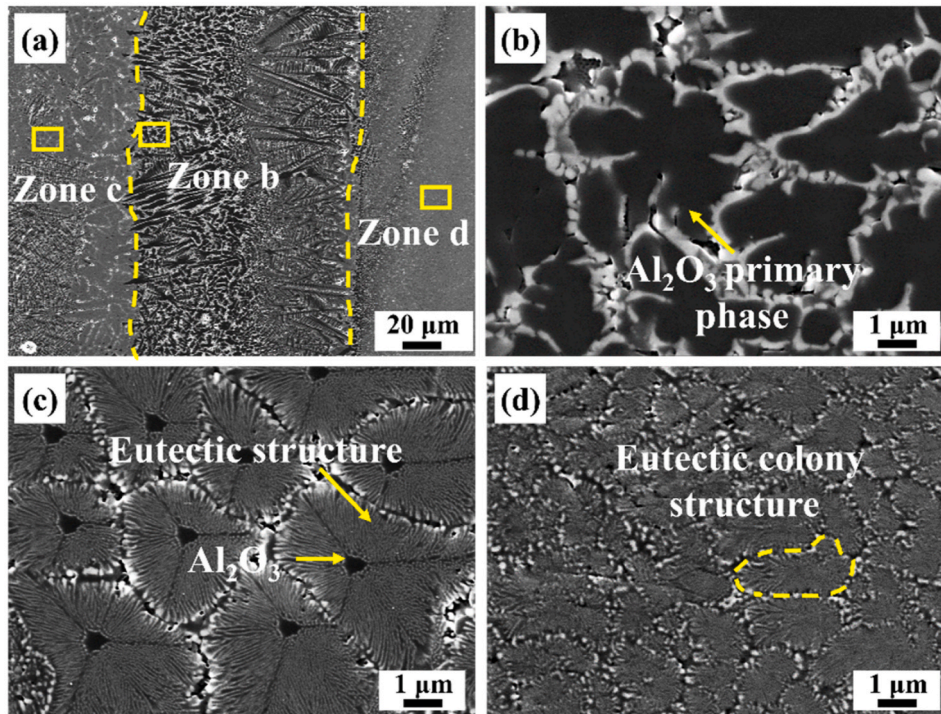


Fig. 6. SEM micrograph of Z65 hypereutectic ceramic samples 70 W-40 mm/s: (a) low magnification, (b) Zone b, (c) Zone c, (d) Zone d.

surrounding independent nucleation Al<sub>2</sub>O<sub>3</sub> particles which exhibit a "Chinese script" [43–45] and "rod-like" structure (Fig. 6b). The microstructure of zone c consists of the eutectic structure and small Al<sub>2</sub>O<sub>3</sub> particles. Compared to zone b, the size of the Al<sub>2</sub>O<sub>3</sub> particles in zone c is significantly smaller and they are surrounded by the eutectic matrix, forming a mushroom-like structure (Fig. 6c). The microstructure of zone d shows a typical eutectic colony structure (Fig. 6d). In particular, zone b, which is located at the melt pool boundary, is affected by thermal effects [46,47] resulting from the overlap between the adjacent tracks. This increases the instability of the solid-liquid interface, resulting in the band structure observed in the sample [29,44,48]. A detailed

demonstration of the microstructure evolution is given in Section 4.3.

Fig. 7 shows the microstructural morphology of the Z75 70 W-40 mm/s and 70 W-60 mm/s samples. The microstructure of the 70 W-40 mm/s sample shows a eutectic colony structure consisting of discrete ZrO<sub>2</sub> particles and a continuous Al<sub>2</sub>O<sub>3</sub> matrix (Fig. 7a). At the boundary of the eutectic colony, cracks and cavities are occasionally observed, as shown in Figs. 7a–1. This is mainly due to the fact that precipitation from the liquid in the boundary region is considered to be the final stage of solidification. When the liquid is insufficient, the material in the boundary region cannot fill the space, resulting in the formation of cracks. Eutectic structures are composed of alternating components, and

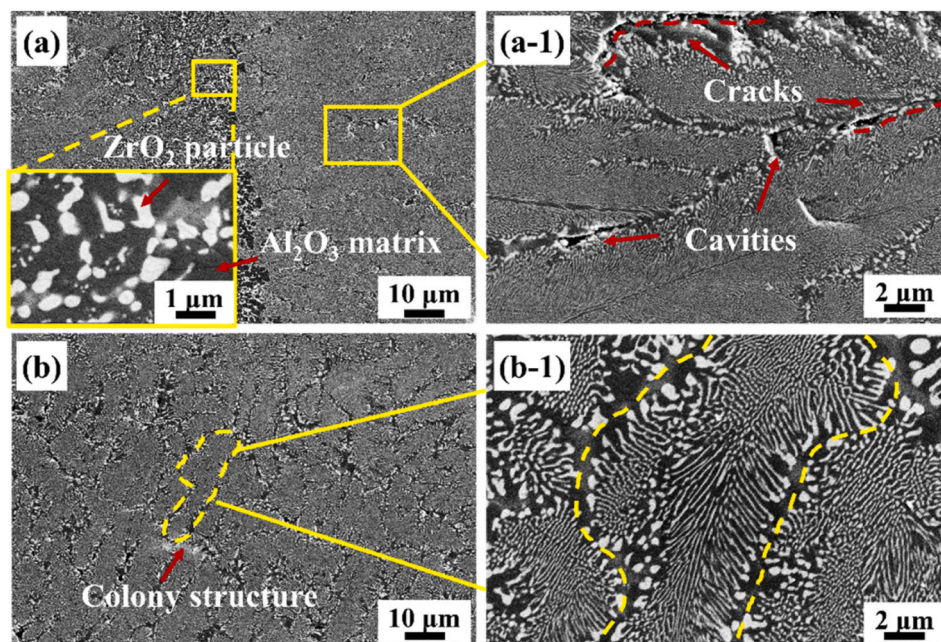


Fig. 7. SEM micrograph of Z75 samples at low and high magnification: (a, a-1) 70 W-40 mm/s, (b, b-1) 70 W-60 mm/s.

the solidification of the liquid phase causes significant shrinkage, thereby increasing the risk of cracks and cavity defect formation [46]. Concerning the microstructure of the 70 W-60 mm/s sample, it also exhibits a eutectic colony structure, as shown in Fig. 7b. As can be observed, for the colony structure of  $\text{Al}_2\text{O}_3$ - $\text{ZrO}_2$  eutectic, the center of colony is homogeneous and fine, while the boundary is coarse (Figs. 7b-1). The eutectic structure will not be described in detail in this paper, and more information is expounded in the previous research results.

Fig. 8 and Fig. S1 shows the microstructure of hypereutectic ceramics of Z75 samples fabricated at 70 W laser power, with 120 mm/s, 100 mm/s, and 80 mm/s scanning speed respectively. SEM and EDS were performed on several samples to analyze the evolution of hypereutectic microstructure. By combining EDS mapping and XRD pattern analysis (Fig. 3), it is evident that the hypereutectic morphology consists of t- $\text{ZrO}_2$  dendrites surrounded by eutectic structures.

Fig. 8a and b shows that t- $\text{ZrO}_2$  transforms from coarse primary dendrites to slender dendrites as the scanning speed decreases. At the same time, it is observed that the lamellar eutectic surrounding the t- $\text{ZrO}_2$  dendrites has no obvious boundary at scanning speed of 120 mm/s, and the t- $\text{ZrO}_2$  dendrites are discretely deposited in the lamellar eutectic matrix in primary form, as shown in a partial enlargement of Fig. 8a. At 100 mm/s, the eutectic structure gradually evolved into a cell shape surrounding the t- $\text{ZrO}_2$  dendrites (circled in red in Figs. 8b-2). The cell size was small and densely distributed around the dendrites (Figs. 8b-2). Comparing with Figs. 8b-2 and c, it can be found that the eutectic cells grew from  $1.8 \pm 0.23 \mu\text{m}$  to  $5.2 \pm 1.55 \mu\text{m}$ , as the scanning speed decreased. The cell size was measured by the analysis software Image J. It is obviously observed that the peripheral branches of the dendrite structure have disappeared by comparing Figs. 8b-2 and the partial enlargement of Fig. 8c. The eutectic cells are arranged along the growth direction of the  $\text{ZrO}_2$  dendrite, and the long axis of the eutectic cells are perpendicular to the direction of the dendritic trunk. Moreover, the elongated dendrites were not completely disappeared in the center of the eutectic cells (red arrow in Fig. 8c). There are large dendrite arms/branches which are caused by increasing scanning speed. Increasing the scanning speed increases the maximum cooling rate and produces interface instabilities in the side of the cells that cause the growth of dendrite arms. The spacing between the cells increases and constitutional undercooling occurs perpendicular to the growth direction because of the higher scanning speed and lower temperature gradient. The result revealed the evolution process of the growth of small-size

eutectic cells into large-size rod-shaped eutectic. In fact, the evolution of hypereutectic microstructure at different scanning speeds is essentially influenced by the change in laser energy density. More detail about this is demonstrated in Section 4.2.

To further reveal the phase structure of the  $\text{ZrO}_2$  dendrites in the  $\text{Al}_2\text{O}_3$ - $\text{ZrO}_2$  hypereutectic ceramic, the transmission electron microscopy (TEM) technique was employed and the results are shown in Fig. 9. Fig. 9b displays the bright-field TEM image and corresponding selected area electron diffraction (SAED) pattern of the  $\text{ZrO}_2$  dendrite main trunk region (labeled as region b in Fig. 9a). The crystal plane spacing measured from the SAED pattern correspond to different crystallographic planes of m- $\text{ZrO}_2$ , such as  $0.478 \text{ nm}$   $| (100) |$  ( $d_{\text{std}} = \sim 0.5078 \text{ nm}$ ),  $0.318 \text{ nm}$   $| (-111) |$  ( $d_{\text{std}} = \sim 0.3163 \text{ nm}$ ),  $0.25 \text{ nm}$   $| (-102) |$  ( $d_{\text{std}} = \sim 0.2498 \text{ nm}$ ). From Fig. 9b, it can be observed that the  $\text{ZrO}_2$  dendrites are surrounded by  $\text{Al}_2\text{O}_3$ . Further insight into the interface relationship between the  $\text{ZrO}_2$  dendrites and the  $\text{Al}_2\text{O}_3$  matrix can be obtained from the high-resolution TEM (HRTEM) image of the interface region in Fig. 9c. The study reveals that the crystal orientation parallelism between  $\text{ZrO}_2$  and  $\text{Al}_2\text{O}_3$  was not observed, and the  $\text{ZrO}_2$  lattice is axisymmetric, which indicates the presence of twins in the  $\text{ZrO}_2$  crystal. Fig. 9d presents the bright-field TEM image and corresponding SAED pattern of the  $\text{ZrO}_2$  dendrite side branch region (labeled as region d in Fig. 9a). By combining the energy-dispersive X-ray spectroscopy (EDXS) mapping in Fig. 9e, it can be observed that the side branch is enveloped by an approximately  $89.8 \pm 15.27 \text{ nm}$  thick layer of  $\text{Al}_2\text{O}_3$ , which is deposited within the eutectic matrix. Additionally, the lattice of the side branch is consistent with that of the main trunk region, indicating that the entire  $\text{ZrO}_2$  dendrite exhibits a monoclinic phase with a p21/c space group structure. Finally, Fig. 9f shows the HRTEM image of region f in Fig. 9d, where evident layer mismatches are observed at the twin boundaries within the  $\text{ZrO}_2$  dendrite.

### 3.3. Mechanical properties

The Vickers hardness values were measured on the cross sections of the samples as shown in Fig. 10. At 70W (Fig. 10 a and b), the hardness of the Z65 sample ranges from 1169.72 HV to 1486.98 HV and the hardness of the Z75 sample ranges from 1248.98 HV to 1616.13 HV. The results show a decrease in hardness with increasing scanning speed of 70 W samples. In this study, the Z75-70W-120 mm/s sample did not produce prefabricated cracks under the same test conditions, so it will not be discussed here. At 90 W (Fig. 10 c and d), the hardness of the Z65

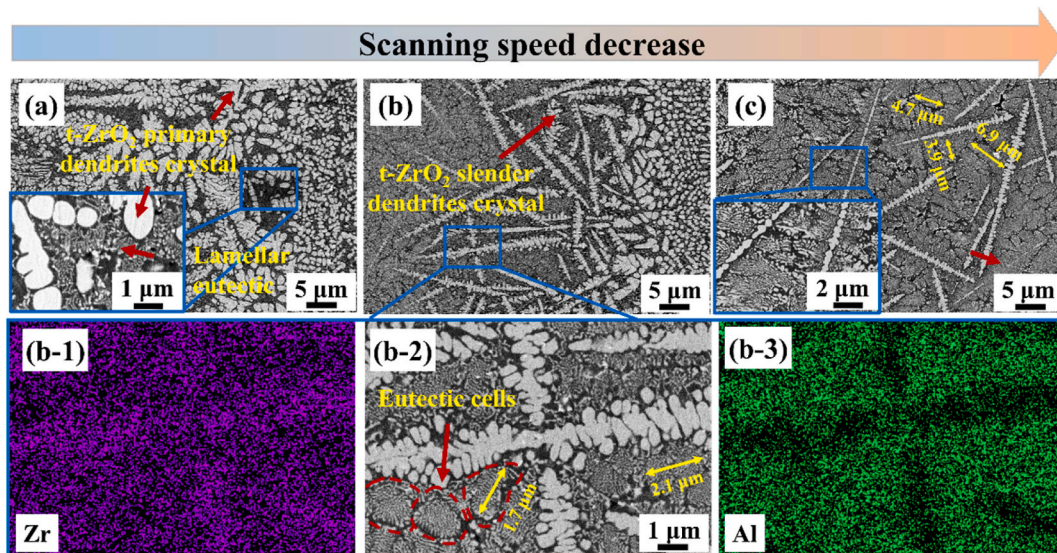


Fig. 8. SEM micrograph and EDS mapping of Z75 hypereutectic ceramic samples: (a) 120 mm/s, (b, b-2) 100 mm/s, (c) 80 mm/s, (b-1, b-3) Zr and Al EDS mapping of Figs. 8b-2.

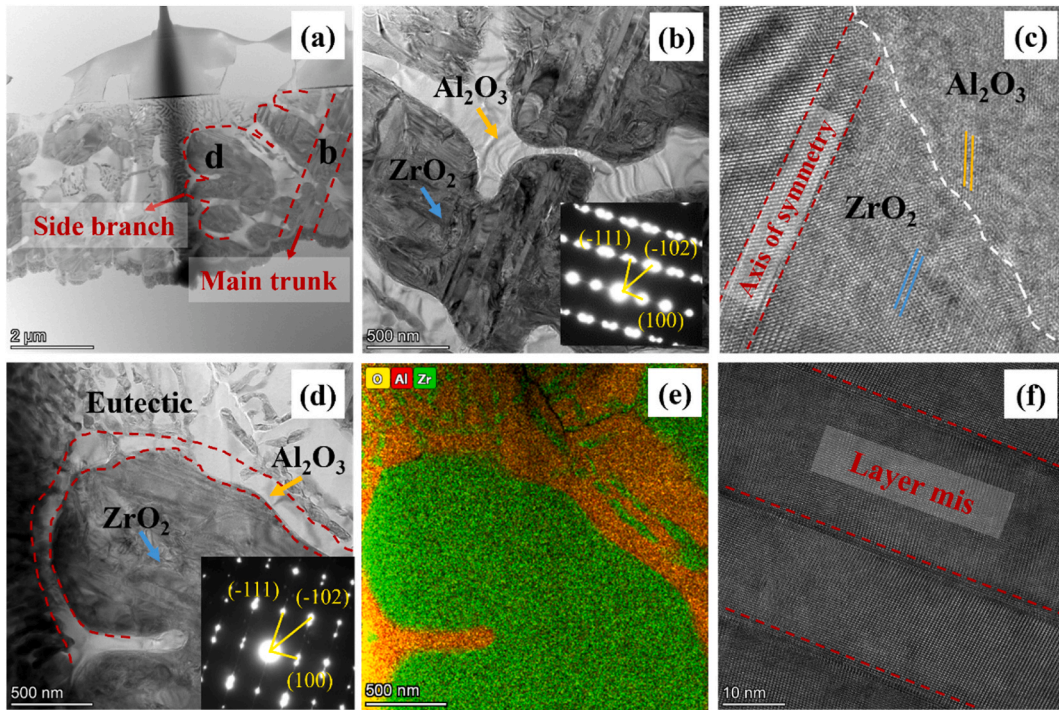


Fig. 9. (a) Thin-slice sample of  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  hyper-eutectic ceramic microstructure, (b) TEM bright-field (BF) image and SAED pattern showing the  $\text{ZrO}_2$  dendrite, (c) at the  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  interface, (d) TEM bright-field (BF) image and SAED pattern showing the  $\text{ZrO}_2$  dendrite, (e) EDXS image showing the surface distributions of elements, (f) TEM BF image showing the  $\text{Al}_2\text{O}_3\text{-ZrO}_2$  hyper-eutectic with dislocation wall.

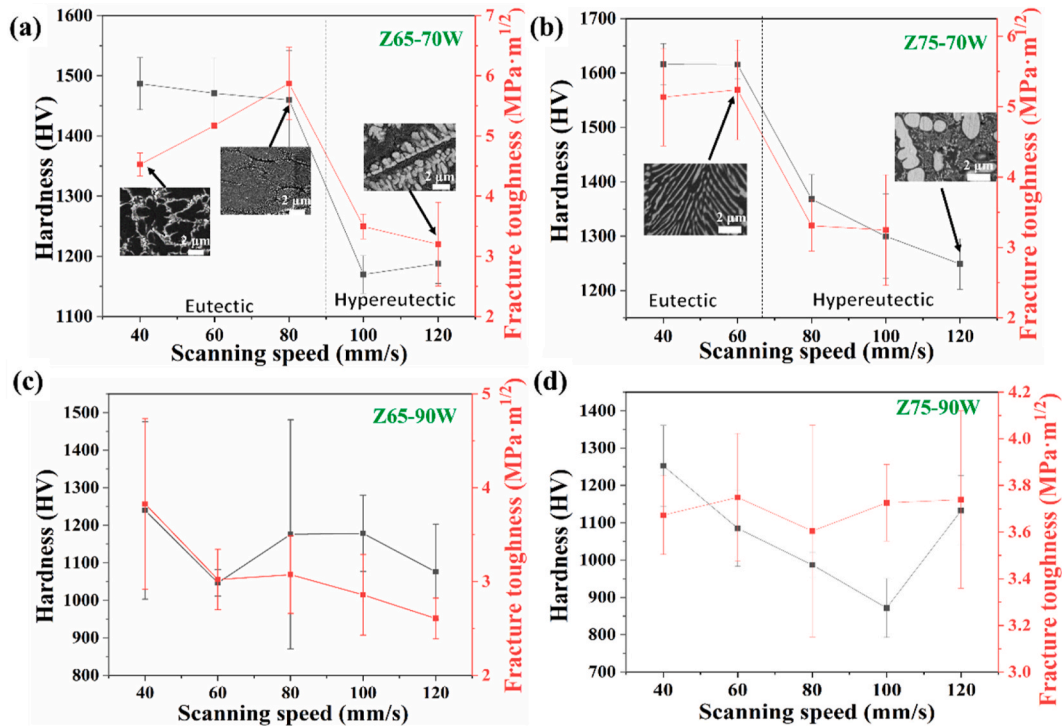


Fig. 10. Hardness and fracture toughness of (a) Z65-70W, (b) Z75-70W, (c) Z65-90W and (d) Z75-90W samples at different scanning speeds.

sample ranges from 1047.0 HV to 1240.06 HV and the hardness of Z75 sample ranges from 871.86 HV to 1252.56 HV. In addition, the hardness of the samples with eutectic structures is significantly higher than that of the hypereutectic samples. The maximum Vickers hardness observed in Z65 is 1486.98 HV at a scanning speed of 40 mm/s (Fig. 10a). Similarly, the maximum Vickers hardness measured in Z75 is 1616.13 HV at a

scanning speed of 40 mm/s (Fig. 10b). This is attributed to the presence of numerous  $\text{Al}_2\text{O}_3$  particles within the microstructure of the samples, which have a higher hardness (Figs. 6 and 7a).

The crack length caused by Vickers indentations can be used to calculate the fracture toughness ( $K_{IC}$ ). At Z65-70W the fracture toughness initially increases and then decreases. Among them, the sample

scanned at 80 mm/s has the highest fracture toughness  $5.87 \text{ MPa}\cdot\text{m}^{1/2}$ , mainly due to its eutectic structure rather than the cracks and the pores (Fig. 10 and Fig. S2). Z75-70W samples also show that the fracture toughness of eutectic structure is higher than that of hypereutectic structure as shown in Fig. 10b. Therefore, it can be concluded that the different microstructures resulting from the different process parameters play a significant role in determining the mechanical properties of the samples. The main results are as follows: (1) The presence of hard  $\text{Al}_2\text{O}_3$  particles significantly improves the hardness. (2) The uniform eutectic structure shows excellent hardness and fracture toughness. (3) The coarse deposition of  $\text{ZrO}_2$  dendrites within the refined eutectic matrix reduces the toughening effect. This is observed as excessively fine primary eutectic structures in the hypereutectic sample as shown in the high magnification image in Fig. 10b.

Fig. 11 shows the Vickers indents at an applied load of 9.8 N on polished surfaces with different types of microstructures respectively. In the eutectic structure with  $\text{Al}_2\text{O}_3$  particles, the crack propagation is much longer, and the crack bridges in the eutectic structure (Fig. 11a and a-1). A similar phenomenon occurs in the eutectic colony structure where cracks are deflected and bridged upon encountering  $\text{ZrO}_2$  (Fig. 11b and b-1). In the hypereutectic structure, the cracks extend almost in a straight line within the eutectic region, while deflection and bridging occur in different degrees when encountering  $\text{ZrO}_2$  dendrites (Fig. 11c and c-1).

From the perspective of crack propagation, the toughening effect can be observed in two aspects. (1) Crack bridging: From the crack path shown in Figs. 11a–1, it can be seen that the crack is terminated in the vicinity of the end region and then another parallel crack is formed below. This crack-bridging mechanism reduces the driving force for crack propagation and inhibits crack growth [36]. (2) Crack deflection: The initial crack deflects upon encountering a phase interface, as shown in Figs. 11b–1. The deflected crack path is indicated by the curved arrow.

## 4. Discussion

### 4.1. Microstructure evolution

The experimental findings revealed that a change in laser scan speed can significantly affect the microstructure of the samples produced. It can be seen from Figs. 4, 5, 7 and 8 that the microstructure of  $\text{Al}_2\text{O}_3$ - $\text{ZrO}_2$  ceramics shows obvious evolution under different scanning speeds. In order to better understand this evolutionary mechanism, the

sequences of microstructural evolution are schematically illustrated in Fig. 12. At low laser energy density, the microstructure shows a typical hypereutectic structure, consisting of  $\text{ZrO}_2$  dendrites and primary lamellar structure (Fig. 12a). With the increase of laser energy density,  $\text{ZrO}_2$  dendrites gradually refined and eventually disappeared, while the surrounding lamellar structures continued to grow and resulted into a uniform eutectic colony structure, as shown in Fig. 12b. With a further increase of laser energy density, a band structure composed of coarse  $\text{Al}_2\text{O}_3$  particles appeared in the eutectic colony structure (Fig. 12c). It is observed that the microstructure evolution of  $\text{Al}_2\text{O}_3$ - $\text{ZrO}_2$  ceramic is closely related to the structural evolution of its  $\text{Al}_2\text{O}_3$  and  $\text{ZrO}_2$  phases. Therefore, it is necessary to further study the evolution of  $\text{Al}_2\text{O}_3$  and  $\text{ZrO}_2$  phases.

### 4.2. $\text{ZrO}_2$ dendrites evolution

The changes in  $\text{ZrO}_2$  dendrites during the microstructure evolution from hypereutectic structure to eutectic colony structure are shown in Fig. 13. As the laser energy density is lowered, a coarse primary phase of  $\text{ZrO}_2$  begins to appear in the microstructure as shown in Fig. 13a. Simultaneously, the eutectic reaction of  $\text{Al}_2\text{O}_3$  and  $\text{ZrO}_2$  initiate, leading to the presence of eutectic structures surrounding the primary  $\text{ZrO}_2$ . As the energy density continued to increase, the  $\text{ZrO}_2$  showed a clear trend growing along the main trunk, and the branches were refined into slender dendritic crystals (Fig. 13b). Because, for the intrinsic lattice structure,  $\text{ZrO}_2$  has different crystal forms at different temperatures, and the phase transition of  $\text{ZrO}_2$  is accompanied by volume expansion. When the energy density increases the local temperature increases, and the melt holds for a longer time because of the slow scanning speed, which leads to the formation of internal strain within the grain so that the overall  $\text{ZrO}_2$  dendrite size gradually becomes longer in the main axis. In terms of the external environment, with the increase of energy density, the solidification rate and heat conduction effect during laser melting will also increase, which allows the side branches of  $\text{ZrO}_2$  dendrite to form smaller sizes. Meanwhile, the surrounding eutectic structure gradually grows coarser boundaries, forming cellular structures attached to the  $\text{ZrO}_2$  dendrite. At a higher laser energy density, the dendrite branches of  $\text{ZrO}_2$  almost disappear. In the meantime, Zirconia dendrites continue to grow along the main axis, showing a morphology similar to beading (Fig. 13c). It is noteworthy that the eutectic structure grows from a small cellular structure to a rod-like structure, and its long axis is perpendicular to the main stem of the  $\text{ZrO}_2$  dendrite. The eutectic colony structure with large size and irregular borders is eventually

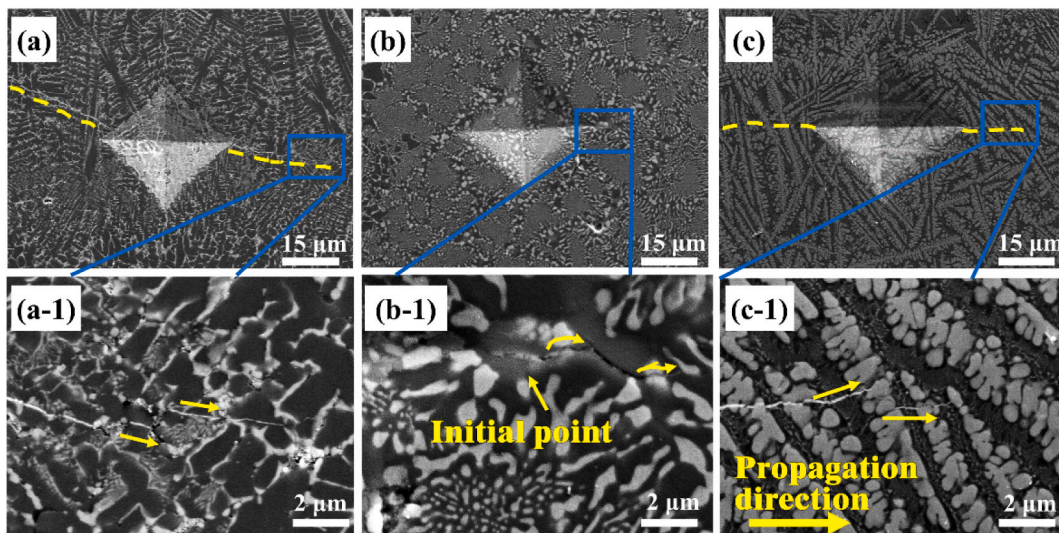


Fig. 11. Vickers indentation morphology on the polished surface and indentation cracks propagation pattern: (a, a-1)  $\text{Al}_2\text{O}_3$  dendritic crystal, (b, b-1) eutectic, (c, c-1)  $\text{ZrO}_2$  dendritic crystal.

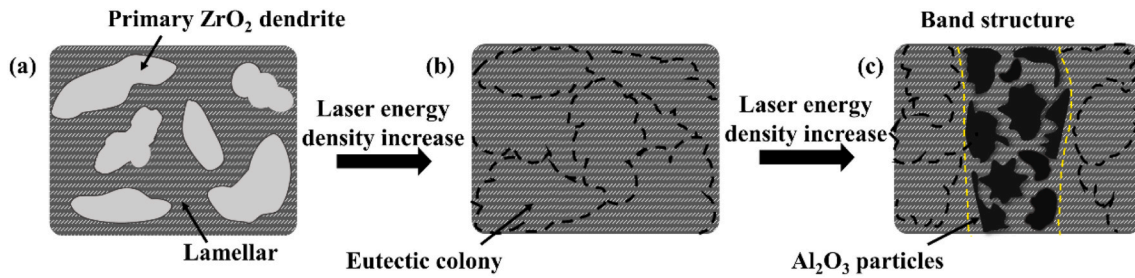


Fig. 12. (a) Typical hypereutectic structure, (b) typical eutectic structure, (c) eutectic structure with band structure.

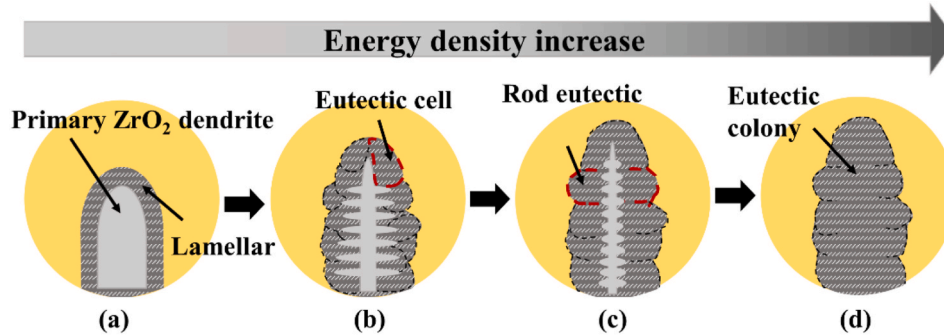


Fig. 13. Schematic illustration of the stage sequences of  $ZrO_2$  dendrite evolution in  $Al_2O_3$ - $ZrO_2$  hypereutectic composition: (a) primary  $ZrO_2$  dendrite and the peripheral lamellar structure, (b) dendrite is refined and the eutectic structure is cellular, (c) dendrites are further refined and rod-like eutectic grew, (d) dendrites disappear and form large eutectic colony.

produced when the  $ZrO_2$  dendrites in the middle of the rod eutectic and boundaries between rod eutectic disappear, (Fig. 13d). Additionally, the long axis of the eutectic colony is parallel to the main stem of  $ZrO_2$  dendrite. Finally, a typical eutectic structure is formed.

#### 4.3. $Al_2O_3$ particles evolution

The microstructure of eutectic along with  $Al_2O_3$  particles (Fig. 6) was further analyzed. This is assumed to be related to the undercooling. Previous studies have shown that the maximum thermal gradient exists near the boundary of the molten pool, and there is a lap zone with the previous molten pool, during that period the sample receives a higher energy [49–52]. When undercooling occurs, the solidification of the liquid phase is delayed, and the morphology and behavior of the solid/liquid interface are affected. The higher the degree of undercooling, the faster the movement of the solid/liquid interface, and the rapid progression of solidification. Under undercooling conditions, the solid/liquid interface exhibits phenomena such as uneven diffusion, displacement, and nucleation, ultimately leading to changes in the solidification morphology. The average undercooling  $\Delta T$  at the interface is given by Ref. [53]:

$$\Delta T = T_E - T_L = \Delta T_D + \Delta T_r + \Delta T_k \quad (4)$$

where  $T_E$  is the eutectic temperature,  $T_L$  is the local interface temperature, and  $\Delta T_D$ ,  $\Delta T_r$ ,  $\Delta T_k$  are the constitutional, curvature, and kinetic undercooling, respectively.

The constitutional undercooling ( $\Delta T_D$ ) is caused by the solute concentration deviation from the initial concentration at the interface. At the boundary, the uneven distribution of heat flow leads to significant differences in thermal flux. This disparity in thermal flux can cause solute migration and aggregation, resulting in local oversaturation of solute at the boundary and leading to structural undercooling. As for the curvature undercooling ( $\Delta T_r$ ), this is related to the increase in interface energy caused by the inclusion of a solid-liquid interface. The boundary of the liquid molten pool is the lap with the previously solidified layer.

Therefore, this will produce a great curvature undercooling at the boundary. The increase of undercooling at the interface causes the growth interface single-phase instability and the appearance of the coarsening band structure. Based on this, the formation of primary dendritic  $Al_2O_3$  and  $Al_2O_3$ - $ZrO_2$  eutectic colony of Z65-70W-40 mm/s sample can be well understood, as shown in Fig. 14.

The boundary of the molten pool is the lap with the previous molten pool, which exists a solid-liquid section with a higher undercooling. The primary phase of  $Al_2O_3$  in this zone presents underdeveloped cellular, dendrites and ellipsoidal dendrite structures.  $ZrO_2$  exists at the boundary of the  $Al_2O_3$  cell separately and discontinuously. As it is near the center of the melting pool, the undercooling meets the condition of a eutectic reaction. The  $ZrO_2$  phase forms nuclei on the surface of the  $Al_2O_3$  dendrites. These nuclei serve as the starting points for the  $ZrO_2$  crystals' growth. The  $ZrO_2$  phase undergoes coupled growth after being nucleated. During coupled growth, the  $ZrO_2$  phase continues to expand and interact with the surrounding  $Al_2O_3$  dendrites. Subsequently, the cell structure is formed with alumina serving as the core and being surrounded by a eutectic structure. In the center of the molten pool, the condition is more stable as undercooling decreases, and the eutectic growth trend increases. Therefore, the eutectic reaction reaches completion and shows a uniformly distributed lamellar or eutectic colony structure.

## 5. Conclusions

In order to investigate the solidification behavior of  $Al_2O_3$ - $ZrO_2$  ceramics with a broader compositional landscape and understanding the “composition-microstructure” relationship during L-PBF and as result, tailor the mechanical properties, this study designed different material components and process parameters, prepared  $Al_2O_3$ - $ZrO_2$  hypereutectic ceramics via L-PBF, and analyzed their phase composition, microstructure evolution and mechanical properties. The mechanism of tissue evolution is discussed, and the major results are as follows:

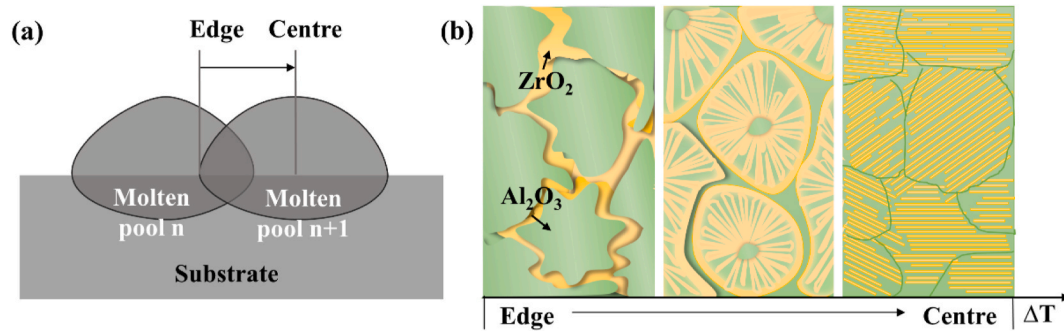


Fig. 14. (a) Molten pool location diagram, (b) schematic illustration of the stage sequences in  $\text{Al}_2\text{O}_3$ - $\text{ZrO}_2$  hypoeutectic - eutectic evolution.

- (1) In terms of microstructure, the samples mainly formed a typical hypereutectic structure with a eutectic matrix and discrete distribution of  $\text{ZrO}_2$  dendrites. However, eutectic structure can be obtained at a low scanning speed. With the decrease of the scanning speed, the microstructure changes from a hypereutectic structure to a eutectic structure. Further, there appeared a band structure composed of coarse  $\text{Al}_2\text{O}_3$  particles in the eutectic colony structure.
- (2) The scanning speed can significantly influence the evolution of  $\text{ZrO}_2$  dendrites in  $\text{Al}_2\text{O}_3$ - $\text{ZrO}_2$  hypereutectic ceramics. With the increase of laser energy density, the  $\text{ZrO}_2$  gradually changes from coarse primary morphology into slender dendrite, while the eutectic structure between dendrites changes from lamellar structure to eutectic colony structure, and the  $\text{ZrO}_2$  dendrites disappear.
- (3) In terms of mechanical properties, the sample with alumina particles in their microstructure exhibits the highest hardness of 1616.13 HV, and the one with uniform eutectic microstructure has the highest fracture toughness of  $5.87 \text{ MPa}\cdot\text{m}^{1/2}$ . In general, the presence of  $\text{ZrO}_2$  dendrites enhance the fracture toughness of  $\text{Al}_2\text{O}_3$ - $\text{ZrO}_2$  ceramics. However, undesirably the high scanning speed will make the surrounding eutectic matrix too fine while obtaining  $\text{ZrO}_2$  dendrites, leading to a fracture toughness lower than that of relatively rough and coarser eutectic structure. Therefore, in order to obtain superior mechanical properties, it is necessary to precisely regulate and control the growth of eutectic matrix and  $\text{ZrO}_2$  dendrites.

#### Declaration of competing interest

The author(s) declared no potential conflicts of interest with respect to the research, author-ship, and/or publication of this article.

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#### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.smmf.2024.100048>.

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