

Fourier optics with linearly tapered waveguides: Light trapping and focusing

Cite as: APL Photon. 6, 066108 (2021); doi: 10.1063/5.0050770

Submitted: 18 March 2021 • Accepted: 7 June 2021 •

Published Online: 23 June 2021



Mahmoud A. Gaafar,^{1,2,a)}  Hagen Renner,¹ Manfred Eich,^{1,3} and Alexander Yu. Petrov^{1,3,4}

AFFILIATIONS

¹Institute of Optical and Electronic Materials, Hamburg University of Technology, Eissendorfer Strasse 38, 21073 Hamburg, Germany

²Department of Physics, Faculty of Science, Menoufia University, Menoufia, Egypt

³Helmholtz-Zentrum Hereon, Max-Planck-Strasse 1, Geesthacht D-21502, Germany

⁴ITMO University, 49 Kronverkskii Ave., 197101 St. Petersburg, Russia

^{a)}Author to whom correspondence should be addressed: mahmoud.gaafar@tuhh.de

ABSTRACT

An optical pulse asymptotically reaching zero group velocity in tapered waveguides can ultimately stop at a certain position in the taper accompanied by a strong spatial compression. This phenomenon can also be observed in spatiotemporal systems where the pulse velocity asymptotically reaches the velocity of a tapered front. The first system is well known from tapered plasmonic waveguides where adiabatic nano-focusing of light is observed. Its counterpart in the spatiotemporal system is the optical push broom effect where a nonlinear front collects and compresses the signal. Here, we use the slowly varying envelope approximation to describe such systems. We demonstrate an analytical solution for the linear taper and the piecewise linear dispersion and show that the solution in this case resembles that of an optical lens in paraxial approximation. In particular, the spatial distribution of the focused light represents the Fourier transform of the signal at the input.

© 2021 Author(s). All article content, except where otherwise noted, is licensed under a Creative Commons Attribution (CC BY) license (<http://creativecommons.org/licenses/by/4.0/>). <https://doi.org/10.1063/5.0050770>

INTRODUCTION

Light can be stopped if it is transferred into the mode with zero group velocity. There are two possibilities how zero group velocity can be obtained in the dispersion relation. One is the case in the middle of the dispersion relation where the mode continues at larger and smaller wavenumbers with non-zero group velocity. This is the case of modes at photonic band edges^{1,2} and in specially engineered waveguides.^{3–5} Another case relates to zero group velocity asymptotic at large wavenumbers, which is observed in plasmonic waveguides.⁶ This asymptotic case is particularly appealing as in adiabatically tapered waveguides light can stop in the taper^{7,8} without reflection. In contrast, zero group velocity at finite wavenumbers cannot lead to ultimate light stopping and light will leave the taper in the forward or backward direction.^{9–12} The asymptotic zero group velocity can also be considered in a more general way when the taper or a front is moving along the waveguide. In this case, the zero group velocity of the light pulse is measured in respect to the front. Thus,

the dispersion relation of the signal should asymptotically approach at large wavenumbers the linear slope with group velocity equal to the velocity of the front.

Light trapping/freezing is obtained in tapered metal–dielectric waveguides that support surface plasmon–polariton (SPP) modes. This occurs when a SPP encounters a tapered perturbation that shifts the dispersion curve vertically with the propagation distance and does not allow further propagation at a certain distance in the taper for a defined wavelength, leading to nanofocusing effects^{8,13–15} [Figs. 1(a) and 1(c)]. Here, different frequency components of the light freeze at different positions in the taper leading to the spatial separation of its spectrum, as shown in Fig. 1(c). This effect has been demonstrated using different nanofocusing structures, such as metal¹⁶ and dielectric wedges.^{8,17}

Alternatively, moving perturbations can be considered in nonlinear optics. Optical pulse propagation in dispersive waveguides and its dynamic control via a nonlinearly generated refractive index front (free carrier or Kerr effect) in the same waveguide has caught

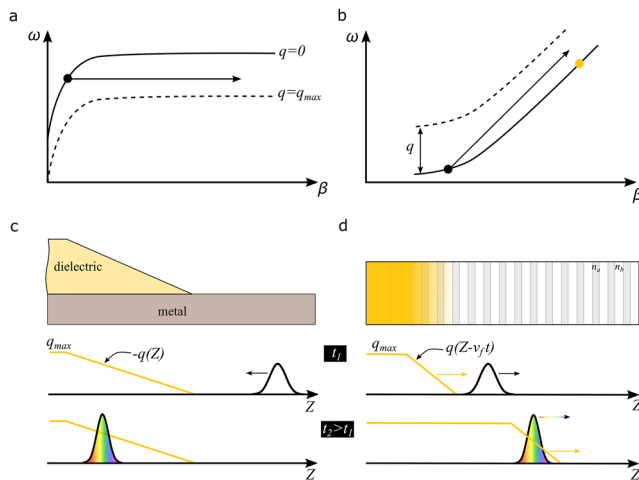


FIG. 1. Schematic illustration of light trapping in tapered plasmonic waveguides [(a) and (c)] and by the optical push broom effect in nonlinear fronts [(b) and (d)]. [(a) and (b)] Schematic dispersion relations corresponding to the structures shown in (c) and (d), respectively. The solid curves represent the dispersion relations of the original waveguides, while the dashed curves represent the maximal frequency-shifted dispersion relations at the end of the taper or behind the front. The black dots represent the locations of the input signal on the dispersion relation, while the orange circle in (b) represents the location of the pulse generating the front. In the case of a tapered plasmonic waveguide, different frequency components of a guided wave packet stop at correspondingly different depths inside the taper, leading to the spatial separation of its spectrum [Fig. 1(c), bottom]. The same is obtained with a moving front $q(Z - v_f t)$ in a waveguide with a hyperbolic dispersion. Different spectral components will stop at slightly different locations z inside the front.

much attention in recent years.^{18–23} In particular, the so-called “optical push broom effect” is discussed^{24–26} in which the signal trapping is induced by a refractive index front moving with a constant velocity in a waveguide with hyperbolic dispersion [Fig. 1(b)]. The velocity of the front is equal to the asymptotic velocity of the hyperbolic dispersion relation. In this case, the slow light signal is trapped inside a fast co-propagating front. In other words, the initial slowly propagating signal—after interacting with the front—is accelerated up to the front velocity and further interaction does not lead to group velocity change, as illustrated schematically in Fig. 1(d). This effect has been theoretically proposed by de Sterke²⁶ and experimentally realized in a fiber Bragg grating²⁴ and in silicon Bragg grating recently.²⁵ Such trapping leads to the pulse compression as the energy of the input signal is concentrated inside the front.

The description of pulse trapping in tapered plasmonic waveguides was based up to now on ray optics approximation.^{8,27} The optical push broom description was also based on the ray optics approach¹⁸ or coupled wave equations,²⁸ the latter applicable only for waveguides with weak periodic perturbation. Here, we use the slowly varying envelope approximation with temporal evolution of spatial profiles to describe such tapers.^{29–31} For a linear taper and piecewise linear dispersion curve, an analytical solution is derived that shows that such a taper has strong similarity with conventional lenses. Namely, the spatial profile of the trapped light inside that taper represents the Fourier transform of the spatial profile at the input. In the case of a moving perturbation, the same applies to the

temporal profile, which leads to a new realization of the so-called time lens effects.^{32,33} In analogy to the spatially quadratic phase shift introduced by spatial lenses, a front induced-time lens imparts a temporally quadratic phase shift to an input signal wave. With that, we show that tapered waveguides with dispersion curves asymptotically approaching constant velocity can be used for Fourier optics, including signal focusing and conversion between spatial frequencies and spatial profiles.

THEORY

In order to analyze the interaction of an optical signal with a taper, we employ a slowly varying envelope approximation, resulting in the time-evolution Schrödinger equation,³⁰

$$\frac{\partial a(t, z)}{\partial t} = (v_f - v_g) \frac{\partial a(t, z)}{\partial z} + \sum_{m=2}^{\infty} i^{m+1} \frac{\omega_m}{m!} \frac{\partial^m a(t, z)}{\partial z^m} + i q(z) a(t, z), \quad (1)$$

where t and $z = Z - v_f t$ are the time and the retarded longitudinal propagation distance, respectively, Z is the laboratory frame longitudinal coordinate, v_f is the velocity at which the perturbation propagates, v_g is the group velocity of the signal, and ω_m are the higher order dispersion terms discussed later. The slowly varying amplitude of the signal is denoted as $a(t, z)$, and $q(z) = q(Z - v_f t)$ represents a local shift of the dispersion relation. This approximation describes systems where the dispersion relation is shifted vertically in frequency by the perturbation without a significant change in its shape.³⁰ The equation can be derived for the modes of an arbitrary, in general case, periodical waveguide,^{29,31} where the part with spatial derivatives corresponds to the dispersion of the unperturbed waveguide and $q(z)$ corresponds to the shift of the dispersion relation due to nonlinear perturbation of the local effective refractive index^{29,30} or via the designed local change of refractive index or waveguide geometry.^{8,13,16} The nonlinear perturbation can be an effect of cross-phase modulation from an intensive pump pulse via Kerr nonlinearity^{34–37} or free carriers.^{19,25,38,39} The slowly varying approximation applies here to the mode amplitude a and taper parameter q . They should change slowly in comparison to the wavelength of the propagating mode. However, the approximation does not limit the geometries or field distributions of the considered modes. It can be applied to modes of silicon² and plasmonic waveguides.⁶

The taper is moving in the (t, Z) -coordinates (laboratory frame) and seemingly standing in the (t, z) -coordinates. Here, it has been assumed that in the absence of the perturbation [$q(z) = 0$] individual waves of spatial frequency β propagate in proportionality to $\exp[i(\omega t - \beta Z)]$ into the positive Z -direction and that the optical angular frequency ω is related to a given spatial frequency β by the dispersion relation $\omega(\beta)$. The coefficients of the spatial derivatives in the sum result from the widely used Taylor expansion of the dispersion relation as the m th derivatives $\omega_m = d^m \omega / d\kappa^m|_{\kappa=0}$ at a certain reference spatial frequency β_0 of the Fourier spectrum of the signal, where $\kappa = \beta - \beta_0$. Although this dispersion relation may be quite arbitrary when considered in the Fourier space, the Taylor expansion in the real-space domain in Eq. (1) clearly requires sufficient differentiability up to the highest considered term in the expansion. The group velocity v_g is equivalent with ω_1 , and we assume $v_g < v_f$ throughout this work. In the laboratory frame, the total signal field

thus propagates as

$$a(t, Z - v_f t) \exp[i(\omega_0 t - \beta_0 Z)] \quad (2)$$

and $a(t, Z - v_f t) = a(t, z)$, as the resulting solution of Eq. (1), varies much more slowly with time than $\exp(i\omega_0 t)$ does. The dispersion relation of the dispersive medium is now assumed to be piecewise linear,

$$\omega(\beta_0 + \kappa) = \begin{cases} \omega_0 + \omega_1 \kappa & \text{for } -\infty < \kappa \leq \kappa_K, \\ \Omega_0 + \Omega_1 \kappa & \text{for } \kappa_K \leq \kappa < \infty, \end{cases} \quad (3)$$

where for $\kappa > \kappa_K$, the group velocity is equal to Ω_1 , which will later be chosen equal to the front velocity v_f , and $\Omega_0 = \omega_0 + (\omega_1 - \Omega_1)\kappa_K$ guarantees continuity at $\kappa = \kappa_K$ [see Fig. 2(b)]. The angular optical frequency at $\beta = \beta_0$ or $\kappa = 0$ is ω_0 . Such a piecewise linear function can be an approximation of the dispersion relation close to an anti-crossing between two interacting modes. As shown later, the discontinuity of the first derivative of $\omega(\beta_0 + \kappa)$ with respect to κ at $\kappa = \kappa_K$ is no hindrance since the mathematical description of the signal propagation will be switched over to the spatial frequency domain before the signal spectrum reaches this kink at $\kappa = \kappa_K$. In the spectral domain, no requirements on the differentiability of $\omega(\beta_0 + \kappa)$ are necessary.

In the beginning at $t = 0$, the signal is assumed to be completely outside the taper [concentrated around $z = z_0$ in Fig. 2(a)], and its spatial spectrum is assumed to be lumped around $\kappa = 0$ with a width much smaller than κ_K . Thus, the signal initially “sees” an unperturbed medium and the first linear branch of the dispersion relation $\omega(\beta_0 + \kappa) = \omega_0 + \omega_1 \kappa$. With $\omega_m = 0$ for all $m \geq 2$, Eq. (1) now simplifies to

$$\frac{\partial a(t, z)}{\partial t} = v_d \frac{\partial a(t, z)}{\partial z} + i q(z) a(t, z), \quad (4)$$

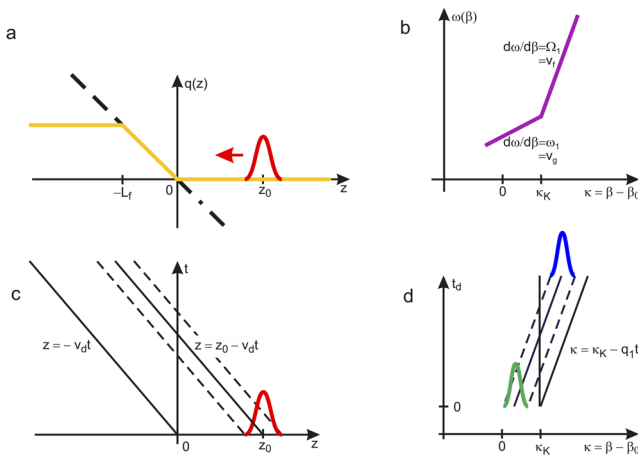


FIG. 2. (a) Relative movement of a signal (red line) into a moving index front (orange line) and assumed extensions of the front slope toward $z \rightarrow -\infty$ (black dashed line) and $z \rightarrow +\infty$ (black dashed-dotted line). (b) Piecewise linear dispersion curve with a change in the slope at $\kappa = \kappa_K$. (c) Relative movement of the signal from $z = z_0$ into the front at $z \leq 0$. (d) Relative movement of the signal spectrum (green line) from the first branch of the dispersion curve ($\kappa \leq \kappa_K$) into the second branch ($\kappa \geq \kappa_K$). The blue line represents the output signal.

where the differential velocity $v_d = v_f - v_g > 0$ was introduced. For positive v_d , the signal is moving to negative z . The solution for an initial signal $a(t = 0, z) = a_0(z)$ lumped around a position z_0 outside the taper can be written as⁴⁰

$$a(t, z) = a_0(z + v_d t) \exp\left[\frac{i}{v_d} \int_z^{z+v_d t} q(z') dz'\right]. \quad (5)$$

In this solution, a continuous linear shift of the position of the original envelope by $-v_d t$ [see Fig. 2(c)] and a phase modified in dependence of the front shape $q(z)$ can be observed. In particular, if a constant $q(z) = q_0$ is assumed, the exponential function in Eq. (5) becomes $\exp(iq_0 t)$, indicating that q_0 acts, according to Eq. (2), as a change in temporal angular frequency from $\omega(\beta)$ to $\omega(\beta) + q_0$, i.e., as a vertical shift of the dispersion curve.

We are interested in $q(z)$, which is not changing with time and is tapered along z . We specify it to have a linear slope section as

$$q(z) = \begin{cases} -q_1 L_f & \text{for } -\infty < z \leq -L_f, \\ q_1 z & \text{for } -L_f \leq z \leq 0, \\ 0 & \text{for } 0 \leq z < \infty, \end{cases} \quad (6)$$

where L_f is the length of the taper [Fig. 2(a)] and $q_1 \leq 0$. As will be shown later, the signal will come to rest at a certain position inside the taper if the section of the constant slope and hence L_f is long enough. Therefore, we can also assume $L_f \rightarrow \infty$ in the following [see the dashed line in Fig. 2(a)]. Since in the beginning the signal was completely outside of the taper and afterward the unchanged envelope moves at a relative velocity of $-v_d$ into the taper, we are only interested in the solution inside the angle formed by the two lines $z = 0$ and $z = -v_d t$ [see Fig. 2(c)] for which the integral in Eq. (5) reads $\int_z^{z+v_d t} q(z') dz' = -q_1 z^2/2$ for $-v_d t \leq z \leq 0$ and the solution is

$$a(t, z) = a_0(z + v_d t) \exp\left(-\frac{iq_1 z^2}{2v_d}\right) \quad \text{for } -v_d t \leq z \leq 0, \quad (7)$$

with the central position at $z = \hat{z}(t) = z_0 - v_d t$, which crosses the entrance of the front at time $t = z_0/v_d$ [Fig. 2(c)]. Thus, we see that the transition through the front leads to the introduction of a spatially quadratic phase chirp on the signal. This is similar to what a lens is doing to the spatial profile of a beam, but in the transverse coordinates x and y . It should be mentioned that if the onset of the linear slope is not sharp and has a smooth transition, instead, then still the same quadratic phase chirp will finally be obtained. All parts of the signal accumulate the same phase shift when they propagate through the smooth transition.

When the taper is moving as a front, $v_f \neq 0$, we can also use laboratory frame coordinates (t, Z) in Eq. (7),

$$a(t, Z - v_f t) = a_0(Z - v_g t) \exp[i\Psi(t, Z)] \quad \text{for } +v_g t \leq Z \leq v_f t, \quad (8)$$

where the additional phase $\Psi(t, Z)$ caused by the front now depends on both coordinates,

$$\Psi(t, Z) = -\frac{q_1(Z^2 - 2Zv_f t + v_f^2 t^2)}{2v_d}. \quad (9)$$

At the moving position, $Z = \hat{Z}(t) = Z_0 + v_g t = z_0 + v_g t$ where the signal is lumped around, we obtain the additional temporal angular frequency as

$$\Delta\omega[t, \hat{Z}(t)] = + \frac{\partial\Psi(t, Z)}{\partial t} \Big|_{Z=\hat{Z}(t)} = v_f q_1 \left(\frac{Z_0}{v_d} - t \right), \quad (10)$$

while the additional spatial frequency is found to be

$$\Delta\beta[t, \hat{Z}(t)] = - \frac{\partial\Psi(t, Z)}{\partial Z} \Big|_{Z=\hat{Z}(t)} = q_1 \left(\frac{Z_0}{v_d} - t \right), \quad (11)$$

meaning that the shifts in the temporal and spatial frequency are proportional to each other as $\Delta\omega[t, \hat{Z}(t)] = +v_f \Delta\beta[t, \hat{Z}(t)]$. The two different signs in front of the derivatives in Eqs. (10) and (11) have been chosen to make both increments add up positively to its corresponding frequency, ω_0 and β_0 , respectively, according to Eq. (2).

In particular, Eq. (11) indicates a continuous increase in the total spatial frequency by a value $-q_1 t$ linearly increasing ($q_1 < 0$) with time, while the front runs further and further through the signal. The accompanying increment in temporal frequency is $-q_1 v_f t$. The increment in spatial frequency shifts the spectrum of the signal ever closer toward the kink of the dispersion curve at $\kappa = \kappa_K$ [see Fig. 2(d)] where the assumed linearity of the dispersion curve and hence the solutions (5) and (7) are no longer valid.

In order to proceed with the analysis with this more general dispersion relation deviating from a purely linear one, we may switch over to the spatial frequency domain. In doing so, we may exploit the fact that the signal is now completely inside the slope of the front ($-L_f \leq z \leq 0$) and approximate the latter as $q(z) = q_1 z$ in the infinite range $-\infty < z < +\infty$ [see the dashed and dashed-dotted lines in Fig. 2(a)]. The Fourier transform of Eq. (1) with Fourier kernel $\exp(+ikz)$ is

$$\frac{\partial B(t, \kappa)}{\partial t} = i\bar{\omega}(\kappa)B(t, \kappa) + q_1 \frac{\partial B(t, \kappa)}{\partial \kappa}, \quad (12)$$

where $B(t, \kappa)$ is the Fourier transform of $a(t, z)$ and $\bar{\omega}(\kappa) = \omega(\beta_0 + \kappa) - \omega_0 - v_f \kappa$ is the dispersion relation in the retarded coordinate system. The last term results from the Fourier-transform correspondence of $\partial/\partial\kappa$ in the frequency domain with the multiplication by iz in the real-space domain (see, e.g., Ref. 41). On the other hand, remembering the Fourier-transform correspondence $\partial^m/\partial z^m$ in the real-space domain with the multiplication by $(-i\kappa)^m$ in the frequency domain,⁴¹ the term $i\bar{\omega}(\kappa)B(t, \kappa)$ in Eq. (12) can be seen after Taylor expansion of $\bar{\omega}(\kappa)$ in κ to correspond to the sum of all spatial derivatives in Eq. (1).

Equation (12) has the same principal form as Eq. (4). Thus, with a starting spectrum $B_0(\kappa)$ at a time $t = t_0$ at which the real-space signal has already fully entered the front, the solution is⁴⁰

$$B(t, \kappa) = B_0(\kappa + q_1 t_d) \exp \left[-\frac{i}{q_1} \int_{\kappa+q_1 t_d}^{\kappa} \bar{\omega}(\kappa') d\kappa' \right], \quad (13)$$

where $t_d = t - t_0$ with the assumed $q_1 < 0$, the envelope of the initial spectrum is still permanently shifted toward higher spatial frequencies by $-q_1 t$, while the phase is modified in dependence of the dispersion relation. At $t = t_0$, the spectrum is assumed

to be still in the first linear branch of the dispersion relation [Figs. 2(b) and 2(d)]. Then, it shifts toward larger spatial frequencies κ and beyond the kink at $\kappa = \kappa_K$. Finally, it will move inside the angle given by the two lines $\kappa = \kappa_K$ and $\kappa = \kappa_K - q_1 t$ [see Fig. 2(d)].

The special assumption in this work is that the slope Ω_1 of the second branch of the dispersion relation and thus the group velocity in that spectral range be equal to the velocity v_f at which the front propagates [see Fig. 2(b)]. Thus, we can write

$$\bar{\omega}(\kappa) = \begin{cases} -v_d \kappa & \text{for } -\infty < \kappa \leq \kappa_K, \\ -v_d \kappa_K & \text{for } \kappa_K \leq \kappa < \infty. \end{cases} \quad (14)$$

For the wavenumbers above κ_K , this slope-corrected dispersion relation becomes constant and thus has zero group velocity. Our relevant final solution within the spectral range $\kappa_K < \kappa < \kappa_K - q_1 t$ will be

$$B(t_d, \kappa) = B_0(\kappa + q_1 t_d) \exp \left[-\frac{i}{q_1} (p_0 + p_1 \kappa + p_2 \kappa^2) \right], \quad (15)$$

where $p_0 = v_d(\kappa_K^2 + q_1^2 t_d^2)/2$, $p_1 = v_d(q_1 t_d - \kappa_K)$, and $p_2 = v_d/2$. The starting spectrum $B_0(\kappa)$ at $t_d = 0$ is just the Fourier transform of $a(t, z)$ at $t = t_0$, and the spectrum evolves as

$$B(t, \kappa) = \exp \left[-\frac{i}{q_1} (p_0 + p_1 \kappa + p_2 \kappa^2) \right] \times \int_{z=-\infty}^{\infty} a_0(z + v_d t_0) \exp \left(-\frac{iq_1 z^2}{2v_d} \right) \exp[i(\kappa + q_1 t_d)z] dz. \quad (16)$$

The phase in the exponent in front of the integral has a quadratic dependence on κ . Thus, the transition through the kink of dispersion relation leads to an accumulation of quadratic phase or different wavenumbers accumulating a linear shift in space in respect of each other. Here, we again see the analogy to the lens optics. A paraxial beam $f(x, y)$ with the spatial cross section in x propagates along the y axis and experiences a quadratic spatial dispersion as different spatial frequencies κ_x of the beam experience different spatial shifts in x , which increase with the propagation distance. Thus, for a conventional lens, the dispersion accumulates with propagation distance y , and at the focal length, the Fourier transform of the beam is obtained. In the case of the taper, the pulse $a(t, z)$ changes its spatial profile in z with propagation time t . However, the parameter p_2 , responsible for quadratic dispersion, is a constant and not a function of propagation time. Interestingly, the accumulated dispersion is exactly sufficient to provide the focusing effect and Fourier transform of the spatial profile. The same as with the taper function the smooth transition between two linear functions in the dispersion relation does not change the accumulated quadratic phase if the signal completely changes to the second linear branch of the dispersion relation.

Inverse Fourier transform, interchange of the order of integration over κ and z , using a Gaussian integral (e.g., formula 3.323.2 of Ref. 42) and some straightforward mathematical rearrangements provide the real-space solution,

$$a(t, z) = \sqrt{\frac{q_1}{2\pi i v_d}} \exp[i\Phi(t, z)] V(z), \quad (17)$$

$$\Phi(t, z) = \frac{q_1}{2v_d} z^2 + (q_1 t - \kappa_K) z - v_d \kappa_K t, \quad (18)$$

$$V(z) = \int_{\zeta=-\infty}^{\infty} a_0(\zeta) \exp(+iK\zeta) d\zeta, \quad (19)$$

with $K = \kappa_K - zq_1/v_d$. Here, $V(z)$ is just the Fourier transform of the input signal as a function of spatial frequency K . A certain marked feature in the shape of $V(z)$ at a certain $K = \tilde{K}$, or equivalently at $z = \tilde{z} = v_d(\kappa_K - \tilde{K})/q_1$, is thus “standing” at this position $z = \tilde{z}$ without any movement relative to the front. The shape of the Fourier transform $V(z)$ does not change with time. In addition, the Fourier integral is multiplied by an exponential phase term $\exp[i\Phi(t, z)]$. It contains a contribution $(q_1 t - \kappa_K)z$ indicating a permanent growth of the spatial frequency by $-q_1 t$ as also observed in the intermediate steps of the derivation above. Thus, the signal never stops changing and continuously moves in the dispersion relation toward larger wavenumbers. The fact that the group velocity in the retarded coordinate system stays zero at larger wavenumbers allows us to keep the signal stopped for infinitely long times. If the dispersion relation would change at some point, the signal would start moving again. In addition, there appears a constant quadratic chirp in z with chirp parameter $q_1/(2v_d)$. In order to cancel this phase contribution, the signal should be equipped with an initial respective prechirp. In the case of conventional thin lenses, the object is placed at the focal plane before the lens, thus accumulating spatial dispersion by propagation until the lens. In our case, the signal can be prechirped by a dispersive element with the accumulated quadratic phase equal to $-p_2 \kappa^2/q_1$.

Thus, with $z = Z - v_f t$, we have arrived at an explicit expression for the output signal in terms of the laboratory frame space and time coordinates Z and t , respectively. The temporal behavior at the end of the interaction time, e.g., at the output of a photonic crystal waveguide, can be obtained by setting Z equal to the spatial position of the output. By inserting $z = Z - v_f t$, it can be seen that in laboratory frame coordinates the result of the Fourier integral $V(Z - v_f t)$ travels at the same velocity v_f as the front does, while it keeps its shape independently of time. This is different from a lens where, behind the focus, the beam diverges. The laboratory frame position of a marked feature at $z = \tilde{z}$ travels at the front velocity as $\tilde{Z}(t) = v_f t - v_d(\kappa_K - \tilde{K})/q_1$.

The effect of the additional phase $\Phi(t, z)$ can be seen after writing it in laboratory frame coordinates as

$$\Phi(t, Z - v_f t) = \frac{q_1}{2v_d} Z^2 - q_1 \frac{v_g}{v_d} t Z - \kappa_K(Z - v_f t) + t^2 q_1 v_f \frac{2v_g - v_f}{2v_d}. \quad (20)$$

The corresponding additional spatial frequency at the traveling position $\tilde{Z}(t) = v_f t - v_d(\kappa_K - \tilde{K})/q_1$ becomes

$$\Delta\beta[t, \tilde{Z}(t)] = -\frac{\partial\Phi(t, Z - v_f t)}{\partial Z} \Big|_{Z=\tilde{Z}(t)} = -q_1 t + 2\kappa_K - \tilde{K}, \quad (21)$$

indicating again a growth of the spatial frequency by an amount $-q_1 t$ linearly increasing with time t . Analogously, the additional temporal frequency at the traveling position $\tilde{Z}(t)$ is

$$\Delta\omega[t, \tilde{Z}(t)] = +\frac{\partial\Phi(t, Z)}{\partial t} \Big|_{Z=\tilde{Z}(t)} = -q_1 v_f t + v_g(2\kappa_K - \tilde{K}). \quad (22)$$

It also continuously increases linearly with time t . Obviously, an increase by $-q_1 t$ in the spatial frequency in Eq. (21) goes along with an increase by $-q_1 v_f t$ in the temporal frequency of Eq. (22), indicating a shift in the dispersion relation with the slope v_f .

To be more specific, we may assume a chirped Gaussian initial signal at $t = 0$,

$$a_0(z) = a(0, z) = \hat{a}_0 \exp\left[-\frac{(z - z_0)^2(1 + i\Gamma)}{w_0^2}\right], \quad (23)$$

with an input amplitude $1/e$ -width of $w_{in} = w_0$ in space and a chirp parameter Γ . The absolute value of the resulting output signal follows from Eqs. (17)–(19) as

$$|a(t, z)| = \sqrt{\frac{q_1}{2v_d(1 + \Gamma^2)}} \hat{a}_0 w_0 \exp\left[-w_0^2 \left(\frac{q_1 z - \kappa_K v_d}{2v_d \sqrt{1 + \Gamma^2}}\right)^2\right], \quad (24)$$

“standing” at the position $z_s = \kappa_K v_d/q_1 < 0$ inside the front, or in laboratory frame coordinates, moving with the front and with its peak at the position $Z = v_f t + \kappa_K v_d/q_1$. The output amplitude $1/e$ -width is $w_{out} = 2v_d \sqrt{1 + \Gamma^2}/(w_0 |q_1|)$. Two conditions must be fulfilled in order for the output signal to be almost completely inside the front: First, the output width must be small enough according to $w_{out} \ll |z_s|$ or, equivalently, $\kappa_K \gg 2\sqrt{1 + \Gamma^2}/w_0$, where the right-hand side of the last inequality is exactly the $1/e$ spectral width of the input signal. Thus, choosing the input signal such that there is no spectral overlap with the second branch of the dispersion curve [$\kappa \geq \kappa_K$, see Fig. 2(b)] already ensures that the output signal will be practically inside the front. Second, in order to have the slope of the front long enough to keep the output signal inside the region of the front slope, its length L_f must be chosen such that the condition $w_{out} \ll L_f - |z_s|$ or, equivalently, $2v_d \sqrt{1 + \Gamma^2} \ll w_0(L_f |q_1| - \kappa_K v_d)$ is fulfilled.

The compression factor defined as the ratio of output width to input width along the z and the Z axis, respectively, becomes

$$\eta_z = \eta_Z = \frac{w_{out}}{w_{in}} = \frac{2v_d \sqrt{1 + \Gamma^2}}{w_0^2 |q_1|}. \quad (25)$$

It is smaller than one for $|v_d/q_1| < w_0^2/(2\sqrt{1 + \Gamma^2})$, in which case the output signal is compressed as compared to the input signal. Thus, large longitudinal compression factors can be obtained for a large length of the input signal, sharp front, and small velocity difference between the input signal and the front causing a slow dive of the signal into the front. Since the input signal initially propagates at the group velocity v_g , whereas the output signal travels at the velocity v_f of the front, the compression factor in time is $\eta_t = \eta_Z v_g/v_f$.

SIMULATIONS

To confirm the obtained analytical solution, we solved Eq. (1) numerically by the split-step Fourier method.⁴³ We consider a moving linear perturbation in an optical push broom configuration [Eq. (6)], where $q_1 = \Delta q_{\text{Dmax}}/L_f$ is the front slope, $L_f = 2.25$ mm is the spatial front width, and $\Delta q_{\text{Dmax}} = 1.5$ THz is the maximum vertical dispersion relation shift in frequency, cf. dashed black curve in Fig. 1(b). In addition, we use a piecewise linear dispersion relation, cf. Fig. 2(b). The group velocities of the signal and the front are chosen to be $c/4$ and $c/2$, respectively.

Simulation results of push broom time lens are presented in Fig. 3. Here, we launch three overlapping Gaussian signal pulses with the same length (1.125 mm) but with different amplitudes and center spatial frequencies at the same location in the waveguide [Figs. 3(a) and 3(b)]. Temporal evolution of the signal pulse represented in the stationary frame is shown in Fig. 3(c). The pseudo-color indicates the power of the signal pulse. The dashed orange line marks the boundaries of the index front. As we can see, the trapped signal has spatial distribution frequency information [Fig. 3(b)] transferred into spatial information inside the front at the output [Fig. 3(d)]. For ease of comparison, the input spectrum (solid red curve) and the output spatial profile (dashed blue curve) have been scaled and plotted together in Fig. 3(f), which proves the Fourier transform property of push broom-induced trapping. The signal in the front also experiences the Fourier transform in the time domain as well and with that the time lens effect [Fig. 3(g)].

In Fig. 4(a), we show the same results shown in Fig. 3, but represented in the frame moving with the front, which is equivalent to the

case of a stationary taper in the waveguide. To validate our theory, we compare output spatial profiles and output spectra obtained from Eq. (17) and the numerical solution of the linear Schrödinger equation (LSE) [Eq. (1)] in Figs. 4(b)–4(e). Indeed, the analytical results fit very well the obtained results from numerical simulation.

To demonstrate the push broom-induced lensing/compression effect, we simulate the front interaction with a wide Gaussian signal pulse (Fig. 5). The unchirped input signal pulse has a spatial width of 3.75 mm, while the front width is the same as before 2.25 mm. From the corresponding group velocities of the input signal ($c/4$) and front ($c/2$), the signal and front durations are 50 and 15 ps, respectively. As we can see in Fig. 5(a), the signal pulse after it has been completely approached by the index front is collected and compressed in space and time inside it, in analogy to the spatial compression induced by a normal lens. Compared to the case before, the wider input signal leads to a stronger spatial and temporal compression, as expected. The signal input/output spatial profiles and spectra are presented in Figs. 5(b) and 5(c), respectively. From Eq. (25), and for $w_0 = 1.59$ mm, $v_d = c/4$, and $|q_1| = 4.188$ THz/mm, this yields a compression factor of $\sim 7.06 \cdot 10^{-3}$, which is again confirmed by simulation results.

We further investigate the effect of front's slope on the signal compression factor. For that, we used two times sharper front while keeping other parameters the same as used for the simulation shown in Figs. 5(a)–5(c). The comparison of the signal output spatial profiles and spectra using 15 ps (solid blue lines) and 7.5 ps (dotted blue lines) fronts is presented in Figs. 5(d) and 5(e). As can be seen, the compression factor increases two times when two times sharper front is used, as expected from Eq. (25) too.

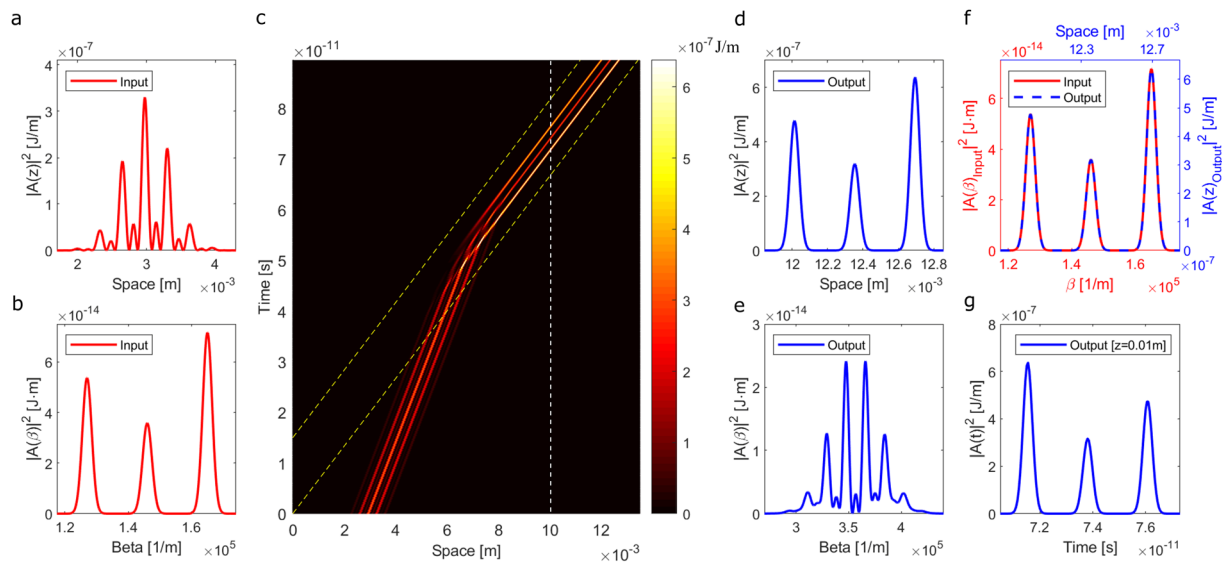


FIG. 3. Simulation of push broom-induced time lens. (a) Spatial profile and (b) spectrum of three input Gaussian signal pulses with the same duration (15 ps) and velocity ($c/4$) but with different amplitudes and center spatial frequencies launched at the same location in the waveguide. (c) Temporal evolution of the signal represented in the laboratory frame. The pseudo-color indicates the energy density of the signal, while the dashed orange line marks the boundaries of the index front. The front velocity and duration are $c/2$ and 15 ps, respectively. The spatial profile and spectrum of the output signal pulse are presented in (d) and (e), respectively. (f) The input spectrum (solid red curve) and the output spatial profile (dashed blue curve) have been scaled and plotted together. (g) Temporal profile of the signal after it has been trapped inside the front at the location $z = 0.01$ m [dashed white line in (c)].

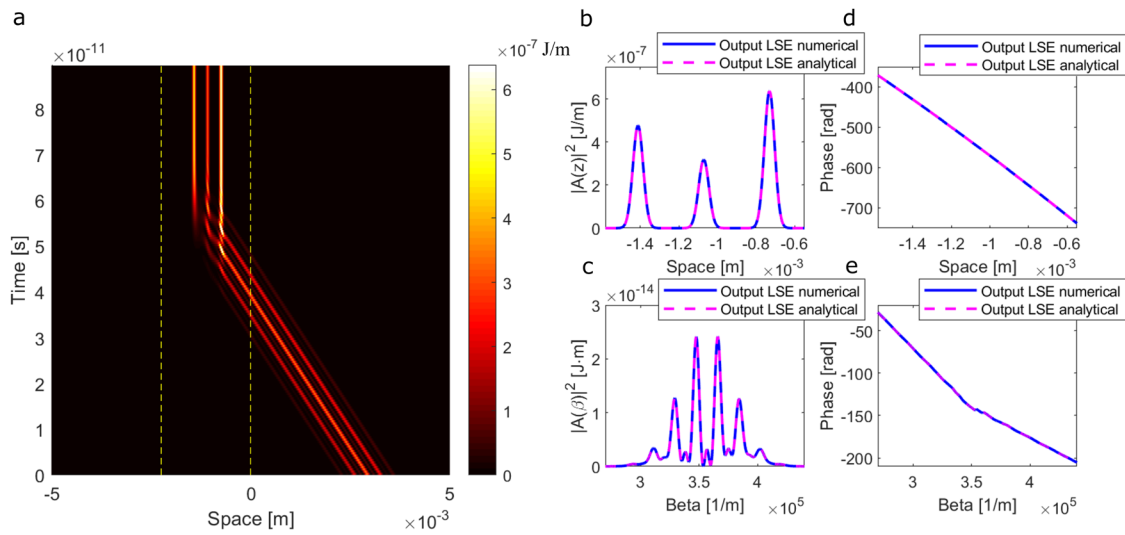


FIG. 4. (a) Temporal evolution of the signal represented in the frame moving with the front. All simulation parameters are the same as in Figs. 3(b)–3(e). Comparison between the results obtained from Eq. (17) of the analytical model (dashed magenta curves) and that obtained from numerical simulation using LSE (solid blue curves).

Finally, we simulate the push broom-induced time lens using a hyperbolic dispersion relation [Fig. 6(a)]. Such a dispersion relation appears in periodic structures, such as photonic crystal fibers, photonic crystal waveguides,⁴⁴ fibers,⁴⁵ and silicon Bragg gratings.⁴⁶ Here, the hyperbolic dispersion is given by $\omega(\beta) = \omega_{PBG} + \Delta\omega_{PBG} \cdot \sqrt{1 + [(\beta - \beta_{PBG})^2 / \Delta\beta_{PBG}^2]}$, where ω_{PBG} and β_{PBG} are the photonic bandgap (PBG) center frequency and wavenumber, respectively. $\Delta\omega_{PBG}$ is the PBG half-opening, and $\Delta\beta_{PBG} = \Delta\omega_{PBG}/v_{g\infty}$ is the parameter that is chosen in such a way that away from the band

edge the dispersion relation converges to a straight line with a group velocity of $v_{g\infty} = c/2$. Here, $\omega_{PBG} = 198.5$ and $\Delta\omega_{PBG} = 1.5$ THz. Furthermore, q_1 and input signal width and shape [Fig. 6(b)] are kept the same as that in Fig. 3.

Temporal evolution of the signal pulse represented in the stationary frame is shown in Fig. 6(d). Due to the initial dispersion of the input signal pulse, the output spatial profile of the signal after trapping is slightly deviating from the exact Fourier transform [Fig. 6(g)]. Still, trapping of different initial frequency components at different locations in the front can be clearly observed.

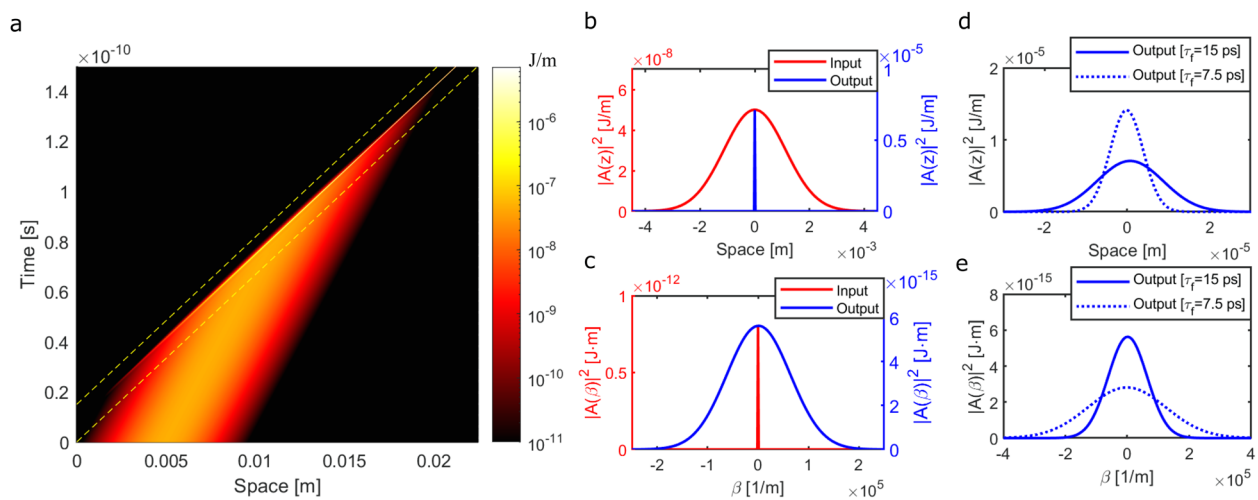


FIG. 5. (a) Numerical study of the temporal evolution of a wide input Gaussian signal pulse represented in the stationary frame. The velocity and duration of the input signal pulse are $c/4$ and $\tau_s = 50$ ps, respectively, while the front velocity and duration are $c/2$ and 15 ps, respectively. (b) Spatial profiles and (c) the spectra of the input/output signal pulses. For ease of comparison, we plot signal inputs and outputs shifted to zero. Due to the large compression factor, the output signal profile in (b) and input spectrum in (c) are very sharp. A magnified representation of the output spatial profile in (b) is shown in (d). (d) Spatial profiles and (e) spectra of the output signal pulse in the case of 15 ps-long (solid blue curves) and 7.5 ps-long (dashed blue curves) fronts.

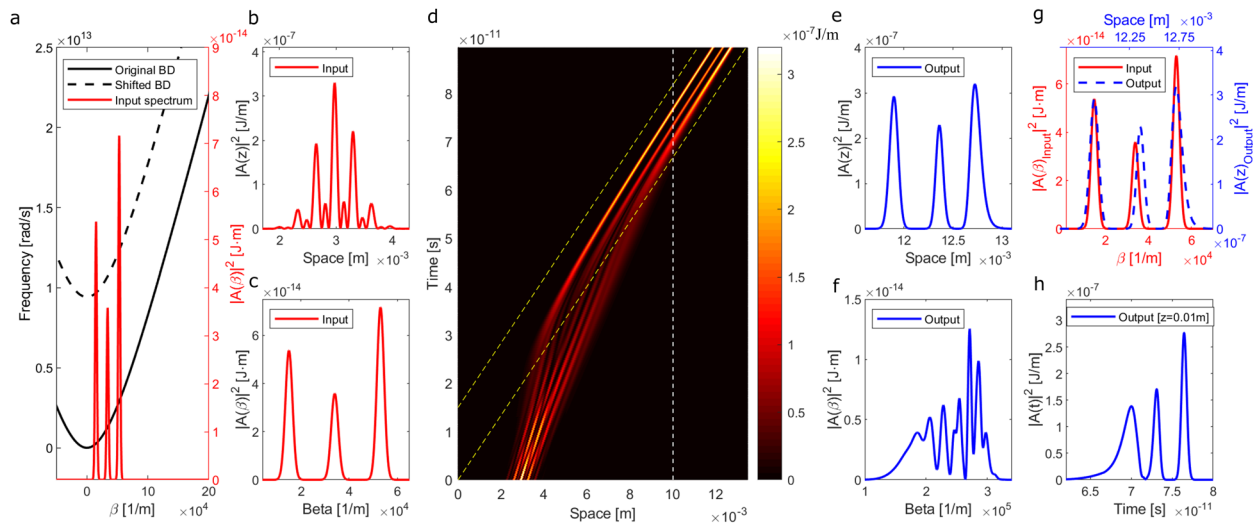


FIG. 6. Simulation of push broom-induced time lens in a system with hyperbolic dispersion. (a) Hyperbolic dispersion (black curves) and signal's input spectrum (red). (b) Spatial profile and (c) spectrum of the same input Gaussian signal pulses used in Fig. 3(d). The pseudo-color indicates the energy density of the signal, while the dashed orange line marks the boundaries of the index front. The front velocity and duration are $c/2$ and 15 ps, respectively. The spatial profile and spectrum of the output signal pulse are presented in (e) and (f), respectively. (g) The input spectrum (solid red curve) and the output spatial profile (dashed blue curve) have been scaled and plotted together. (h) Temporal profile of the signal after it has been trapped inside the front at the location $z = 0.01$ m [dashed white line in (d)].

CONCLUSION

We have presented an analytical solution for the signal stopped in the tapered section of a waveguide where the velocity of the signal is approaching zero at large wavenumbers. This analytical solution shows strong analogy to lenses and corresponding Fourier optics. Namely, the stopped light has a spatial profile that is equivalent to the Fourier transform of the input signal spatial profile with a scaling factor that depends on the taper slope and waveguide dispersion. For the derivation, a piecewise linear dispersion and a linear front were considered. A smooth transition between two linear dispersion curves and smooth starting of the linear taper will lead to the same result. Thus, the results are also approximately describing the trapping in real tapers.

The provided solution applies to two systems from seemingly independent fields: nanofocusing in tapered plasmonic waveguides and optical push broom effect in nonlinear fronts. In both cases, the input signal freezes inside the taper. Though the signal envelope stops in the taper, the spatial frequency continuously increases with time. In the case of plasmonic waveguides, this will lead to stronger penetration of electric fields into the plasmonic medium and thus additional optical loss. However, for push broom trapping inside the front, the losses are typically not a function of wavenumber and thus large compressions and trapping times can be envisaged.

The presented results pave the way for Fourier optics with linear tapers. Namely, linear tapers in plasmonic waveguides can be used to make spectral reconstructions as observed in rainbow trapping effects.^{10,47} The reverse effect can be used to structure the signal trapped in the taper. A frequency mix can be used to obtain light intensity with the prescribed spatial profile in plasmonic tapers

or pulses of defined form in moving fronts. For example, with the push broom effect, short pulses of a defined temporal profile can be generated. The ability of pulse compression can be used to obtain nanofocusing in plasmonic tapes or large pulse compression in fronts.

ACKNOWLEDGMENTS

The authors acknowledge the support from the German Research Foundation (DFG) (Grant No. 392102174). We further acknowledge support for the Open Access fees by Hamburg University of Technology (TUHH) in the funding programme Open Access Publishing.

DATA AVAILABILITY

The data that support the findings of this study are available from the corresponding author upon reasonable request.

REFERENCES

- 1 M. A. Gaafar, J. Holtorf, M. Eich, and A. Y. Petrov, "Pulse time reversal and stopping by a refractive index front," *APL Photonics* **5**, 080801 (2020).
- 2 J. D. Joannopoulos, *Photonic Crystals, Molding the Flow of Light* (Princeton University Press, 2008), pp. 17–19.
- 3 M. Ibanescu, J. D. Joannopoulos, Y. Fink, S. G. Johnson, and S. Fan, "Dielectric waveguide with transverse index variation that support a zero group velocity mode at a non-zero longitudinal wavevector," U.S. patent 6909729B2 (June 21, 2005), available at <https://www.osti.gov/servlets/purl/1175404>.
- 4 A. F. Oskooi, J. D. Joannopoulos, and S. G. Johnson, "Zero-group-velocity modes in chalcogenide holey photonic-crystal fibers," *Opt. Express* **17**, 10082–10090 (2009).

- ⁵K. L. Tsakmakidis, T. W. Pickering, J. M. Hamm, A. F. Page, and O. Hess, "Completely stopped and dispersionless light in plasmonic waveguides," *Phys. Rev. Lett.* **112**, 167401 (2014).
- ⁶S. A. Maier, *Plasmonics: Fundamentals and Applications* (Springer, 2007).
- ⁷P. Lalanne, S. Coudert, G. Duchateau, S. Dilhaire, and K. Vynck, "Structural slow waves: Parallels between photonic crystals and plasmonic waveguides," *ACS Photonics* **6**, 4–17 (2019).
- ⁸E. Verhagen, L. Kuipers, and A. Polman, "Plasmonic nanofocusing in a dielectric wedge," *Nano Lett.* **10**, 3665–3669 (2010).
- ⁹A. Y. Petrov and M. Eich, "Efficient approximation to calculate time delay and dispersion in linearly chirped periodical microphotonic structures," *IEEE J. Quantum Electron.* **41**, 1502–1509 (2005).
- ¹⁰M. S. Jang and H. Atwater, "Plasmonic rainbow trapping structures for light localization and spectrum splitting," *Phys. Rev. Lett.* **107**, 207401 (2011).
- ¹¹D. Mori and T. Baba, "Dispersion-controlled optical group delay device by chirped photonic crystal waveguides," *Appl. Phys. Lett.* **85**, 1101–1103 (2004).
- ¹²J. Park, S. Kim, J. Lee, S. G. Menabde, and M. S. Jang, "Ultimate light trapping in a free-form plasmonic waveguide," *Phys. Rev. Appl.* **12**, 24030 (2019).
- ¹³D. K. Gramotnev and S. I. Bozhevolnyi, "Nanofocusing of electromagnetic radiation," *Nat. Photonics* **8**, 13–22 (2014).
- ¹⁴K. L. Tsakmakidis, A. D. Boardman, and O. Hess, "'Trapped rainbow' storage of light in metamaterials," *Nature* **450**, 397–401 (2007).
- ¹⁵K. L. Tsakmakidis, O. Hess, R. W. Boyd, and X. Zhang, "Ultralow waves on the nanoscale," *Science* **358**, ea5196 (2017).
- ¹⁶K. C. Vernon, D. K. Gramotnev, and D. F. P. Pile, "Adiabatic nanofocusing of plasmons by a sharp metal wedge on a dielectric substrate," *J. Appl. Phys.* **101**, 104312 (2007).
- ¹⁷D. K. Gramotnev, S. J. Tan, and M. L. Kurth, "Plasmon nanofocusing with negative refraction in a high-index dielectric wedge," *Plasmonics* **9**, 175–184 (2014).
- ¹⁸M. A. Gaafar, T. Baba, M. Eich, and A. Y. Petrov, "Front-induced transitions," *Nat. Photonics* **13**, 737–748 (2019).
- ¹⁹M. A. Gaafar, D. Jalas, L. O'Faolain, J. Li, T. F. Krauss, A. Y. Petrov, and M. Eich, "Reflection from a free carrier front via an intraband indirect photonic transition," *Nat. Commun.* **9**, 1447 (2018).
- ²⁰M. A. Gaafar, A. Y. Petrov, and M. Eich, "Free carrier front induced indirect photonic transitions: A new paradigm for frequency manipulation on chip," *ACS Photonics* **4**, 2751–2758 (2017).
- ²¹K. Kondo and T. Baba, "Slow-light-induced Doppler shift in photonic-crystal waveguides," *Phys. Rev. A* **93**, 11802 (2016).
- ²²B. W. Plansinis, W. R. Donaldson, and G. P. Agrawal, "What is the temporal analog of reflection and refraction of optical beams?," *Phys. Rev. Lett.* **115**, 183901 (2015).
- ²³D. T. H. Tan, K. J. A. Ooi, and D. K. T. Ng, "Nonlinear optics on silicon-rich nitride—A high nonlinear figure of merit CMOS platform," *Photonics Res.* **6**, B50–B66 (2018).
- ²⁴N. G. R. Broderick, D. Taverner, D. J. Richardson, M. Ibsen, and R. I. Lamming, "Optical pulse compression in fiber Bragg gratings," *Phys. Rev. Lett.* **79**, 4566–4569 (1997).
- ²⁵M. A. Gaafar, H. Li, X. Cai, J. Li, M. Eich, and A. Y. Petrov, "Optical push broom on a chip," *arXiv:2010.12424* (2020).
- ²⁶C. M. de Sterke, "Optical push broom," *Opt. Lett.* **17**, 914–916 (1992).
- ²⁷D. K. Gramotnev, "Adiabatic nanofocusing of plasmons by sharp metallic grooves: Geometrical optics approach," *J. Appl. Phys.* **98**, 104302 (2005).
- ²⁸C. M. de Sterke, N. G. R. Broderick, B. J. Eggleton, and M. J. Steel, "Nonlinear optics in fiber gratings," *Opt. Fiber Technol.* **2**, 253–268 (1996).
- ²⁹N. A. R. Bhat and J. E. Sipe, "Optical pulse propagation in nonlinear photonic crystals," *Phys. Rev. E* **64**, 56604 (2001).
- ³⁰M. A. Gaafar, H. Renner, A. Y. Petrov, and M. Eich, "Linear Schrödinger equation with temporal evolution for front induced transitions," *Opt. Express* **27**, 21273–21284 (2019).
- ³¹C. M. de Sterke and J. E. Sipe, "Envelope-function approach for the electrodynamics of nonlinear periodic structures," *Phys. Rev. A* **38**, 5149–5165 (1988).
- ³²M. Lillieholm, P. Guan, M. Galili, M. S. Møller-Kristensen, L. Grüner-Nielsen, and L. K. Oxenløwe, "Optimization and characterization of highly nonlinear fiber for broadband optical time lens applications," *Opt. Express* **25**, 12566–12580 (2017).
- ³³R. Salem, M. A. Foster, and A. L. Gaeta, "Application of space-time duality to ultrahigh-speed optical signal processing," *Adv. Opt. Photonics* **5**, 274–317 (2013).
- ³⁴C. Ciret, F. Leo, B. Kuyken, G. Roelkens, and S.-P. Gorza, "Observation of an optical event horizon in a silicon-on-insulator photonic wire waveguide," *Opt. Express* **24**, 114–124 (2016).
- ³⁵R. Dekker, A. Driessen, T. Wahlbrink, C. Moormann, J. Niehusmann, and M. Först, "Ultrafast Kerr-induced all-optical wavelength conversion in silicon waveguides using 1.55 μm femtosecond pulses," *Opt. Express* **14**, 8336–8346 (2006).
- ³⁶T. G. Philbin, C. Kuklewicz, S. Robertson, S. Hill, F. König, and U. Leonhardt, "Fiber-optical analog of the event horizon," *Science* **319**, 1367 (2008).
- ³⁷M. J. Steel and C. M. de Sterke, "Schrödinger equation description for cross-phase modulation in grating structures," *Phys. Rev. A* **49**, 5048–5055 (1994).
- ³⁸M. Castellanos Muñoz, A. Y. Petrov, L. O'Faolain, J. Li, T. F. Krauss, and M. Eich, "Optically induced indirect photonic transitions in a slow light photonic crystal waveguide," *Phys. Rev. Lett.* **112**, 53904 (2014).
- ³⁹K. Kondo and T. Baba, "Dynamic wavelength conversion in copropagating slow-light pulses," *Phys. Rev. Lett.* **112**, 223904 (2014).
- ⁴⁰L. Evans, *Partial Differential Equations*, Graduate Series in Mathematics (American Mathematical Society, 1998).
- ⁴¹R. N. Bracewell, *The Fourier Transform and its Applications* (McGraw-Hill, 2000).
- ⁴²I. S. Gradshteyn and I. M. Ryzhik, *Table of Integrals, Series, and Products* (Academic Press, 2007).
- ⁴³G. Agrawal, *Nonlinear Fiber Optics*, 5th ed. (Elsevier, 2013).
- ⁴⁴J. Li, T. P. White, L. O'Faolain, A. Gomez-Iglesias, and T. F. Krauss, "Systematic design of flat band slow light in photonic crystal waveguides," *Opt. Express* **16**, 6227–6232 (2008).
- ⁴⁵G. Meltz, W. W. Morey, and W. H. Glenn, "Formation of Bragg gratings in optical fibers by a transverse holographic method," *Opt. Lett.* **14**, 823–825 (1989).
- ⁴⁶M. Verbist, W. Bogaerts, and D. van Thourhout, "Design of weak 1-D Bragg grating filters in SOI waveguides using volume holography techniques," *J. Light-wave Technol.* **32**, 1915–1920 (2014).
- ⁴⁷H. Hu, D. Ji, X. Zeng, K. Liu, and Q. Gan, "Rainbow trapping in hyperbolic metamaterial waveguide," *Sci. Rep.* **3**, 1249 (2013).