

## Research Article

# An Analytical Approach to Evaluating Nonmonotonic Functions of Fuzzy Numbers

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This paper presents a novel analytical approach to evaluating continuous, nonmonotonic functions of independent fuzzy numbers. The approach is based on a parametric  $\alpha$ -cut representation of fuzzy numbers and allows for the inclusion of parameter uncertainties into mathematical models.

## 1. Introduction

This paper continues the research from our previous work [1]. In [1], we formulated a practical analytical approach to evaluating continuous, monotonic functions of independent fuzzy numbers, which is based on an alternative formulation of the extension principle [2]. In this paper, we extend this approach to general, nonmonotonic functions of independent fuzzy numbers. For the theoretical background, we assume the reader is familiar with Sections 2 and 4 from [1].

## 2. Preliminaries

In the remainder of this paper, we will use two typical fuzzy numbers in engineering, the triangular and the Gaussian fuzzy number. The definitions are provided below.

*Definition 1.* The triangular fuzzy number (TFN) [3]

$$\tilde{x} = \text{tfn}(\bar{x}, \tau^L, \tau^R) \quad (1)$$

is defined by the membership function

$$\mu_{\tilde{x}}(x) = \begin{cases} 1 + \frac{x - \bar{x}}{\tau^L}, & \bar{x} - \tau^L \leq x \leq \bar{x}, \\ 1 - \frac{x - \bar{x}}{\tau^R}, & \bar{x} < x \leq \bar{x} + \tau^R, \end{cases} \quad (2)$$

where  $\bar{x}$  denotes the modal value,  $\tau^L$  denotes the left-hand, and  $\tau^R$  denotes the right-hand spread of  $\tilde{x}$ . If  $\tau^L = \tau^R$ , the TFN is called *symmetric*. Its  $\alpha$ -cuts  $x(\alpha) = [x^L(\alpha), x^R(\alpha)]$  result from the inverse functions of (2) with respect to  $x$ :

$$x^L(\alpha) = \bar{x} - \tau^L(1 - \alpha), \quad 0 < \alpha \leq 1, \quad (3)$$

$$x^R(\alpha) = \bar{x} + \tau^R(1 - \alpha), \quad 0 < \alpha \leq 1.$$

*Definition 2.* The Gaussian fuzzy number (GFN) [3]

$$\tilde{x} = \text{gfn}(\bar{x}, \sigma^L, \sigma^R) \quad (4)$$

is defined by the membership function

$$\mu_{\tilde{x}}(x) = \begin{cases} \exp\left[-\frac{1}{2}\left(\frac{x - \bar{x}}{\sigma^L}\right)^2\right], & x \leq \bar{x}, \\ \exp\left[-\frac{1}{2}\left(\frac{x - \bar{x}}{\sigma^R}\right)^2\right], & x > \bar{x}, \end{cases} \quad (5)$$

where  $\bar{x}$  denotes the modal value,  $\sigma^L$  denotes the left-hand, and  $\sigma^R$  denotes the right-hand standard deviation of  $\tilde{x}$ . If  $\sigma^L = \sigma^R$ , the GFN is called *symmetric*. Its  $\alpha$ -cuts  $x(\alpha) = [x^L(\alpha), x^R(\alpha)]$  result in

$$x^L(\alpha) = \bar{x} - \sigma^L \sqrt{-2 \ln(\alpha)}, \quad 0 < \alpha \leq 1, \quad (6)$$

$$x^R(\alpha) = \bar{x} + \sigma^R \sqrt{-2 \ln(\alpha)}, \quad 0 < \alpha \leq 1.$$

### 3. Analytical Approach

For evaluating continuous, nonmonotonic functions of independent fuzzy numbers, the authors in [4, 5] suggest including the extreme points as constant profiles into the computation. However, this is not enough and can lead to erroneous results, as was pointed out in [6]. More specifically, all permutations of the interval boundaries of  $x_m(\alpha)$ ,  $m = 1, \dots, n$ , with the components of the extreme points have to be considered as well.

Basically, our analytical approach can be classified into two parts depending on the monotonicity of  $f$ : a general and an extended part.

**3.1. General Part.** If the function  $f$  is nonmonotonic in all  $x_m$ ,  $m = 1, \dots, n$ , we can obtain the analytical solution as follows.

- (1) Evaluate the function  $f$  for all the  $2^n$  permutations of the interval boundaries of  $x_m(\alpha) = [x_m^L(\alpha), x_m^R(\alpha)]$ ,  $m = 1, \dots, n$ . For example, if  $n = 2$ , then compute

$$\begin{aligned} y^{LL}(\alpha) &= f(x_1^L(\alpha), x_2^L(\alpha)), \\ y^{LR}(\alpha) &= f(x_1^L(\alpha), x_2^R(\alpha)), \\ y^{RL}(\alpha) &= f(x_1^R(\alpha), x_2^L(\alpha)), \\ y^{RR}(\alpha) &= f(x_1^R(\alpha), x_2^R(\alpha)). \end{aligned} \quad (7)$$

- (2) Evaluate the function  $f$  for all the  $2ns$  combinations of the interval boundaries of  $x_m(\alpha) = [x_m^L(\alpha), x_m^R(\alpha)]$ ,  $m = 1, \dots, n$ , with the components of the extreme points  $(x_{1,r}^*, \dots, x_{n,r}^*)$ ,  $r = 1, \dots, s$ . For example, if  $n = 3$  and  $s = 1$ , then compute

$$\begin{aligned} y^{L11}(\alpha) &= f(x_1^L(\alpha), x_{2,1}^*, x_{3,1}^*), \\ y^{R11}(\alpha) &= f(x_1^R(\alpha), x_{2,1}^*, x_{3,1}^*), \\ y^{L1L}(\alpha) &= f(x_{1,1}^*, x_2^L(\alpha), x_{3,1}^*), \\ y^{1R1}(\alpha) &= f(x_{1,1}^*, x_2^R(\alpha), x_{3,1}^*), \\ y^{11L}(\alpha) &= f(x_{1,1}^*, x_{2,1}^*, x_3^L(\alpha)), \\ y^{11R}(\alpha) &= f(x_{1,1}^*, x_{2,1}^*, x_3^R(\alpha)). \end{aligned} \quad (8)$$

- (3) If  $(x_{1,r}^*, \dots, x_{n,r}^*) \in \text{supp}(\tilde{x}_1) \times \dots \times \text{supp}(\tilde{x}_n)$  for certain  $r \in \{1, \dots, s\}$ , compute the corresponding  $y^{*r} = f(x_{1,r}^*, \dots, x_{n,r}^*)$ .
- (4) Plot all solution candidates in the same diagram.
- (5) Finally, starting from the modal point  $\bar{y} = f(\bar{x}_1, \dots, \bar{x}_n)$  at  $\alpha = 1$ , construct the maximum envelope formed by the possible solution candidates for  $\alpha \rightarrow 0$  under the condition of convexity.

This *general* part of our approach requires a total of maximum  $2^n + (2n + 1)s$  and minimum  $2^n + 2ns$  function evaluations. It can be viewed as an analytical version of the *level interval algorithm* [6].

*Example 1.* The function  $f_1 : \mathbb{R}^2 \rightarrow \mathbb{R}$  with

$$y_1 = f_1(x_1, x_2) = x_1^2 + x_2^2 - 5x_1 - x_2 \quad (9)$$

shall be evaluated for the two fuzzy numbers  $\tilde{x}_1 = \text{tfn}(2, 2, 3)$  and  $\tilde{x}_2 = \text{tfn}(2, 2, 2)$ . Since

$$\begin{aligned} \frac{\partial f_1}{\partial x_1} &= 2x_1 - 5, \\ \frac{\partial f_1}{\partial x_2} &= 2x_2 - 1, \end{aligned} \quad (10)$$

the function  $f_1$  is nonmonotonic in both  $x_1$  and  $x_2$  in the domain  $\text{supp}(\tilde{x}_1) \times \text{supp}(\tilde{x}_2) = (0, 5) \times (0, 4)$  with one (global) extremum at  $(x_{1,1}^*, x_{2,1}^*) = (2.5, 0.5) \in (0, 5) \times (0, 4)$ . Hence, the general part of our approach should be applied. The solution candidates for  $y_1(\alpha)$  are

$$\begin{aligned} y_1^{LL}(\alpha) &= f_1(x_1^L(\alpha), x_2^L(\alpha)) = 8\alpha^2 - 12\alpha, \\ y_1^{LR}(\alpha) &= f_1(x_1^L(\alpha), x_2^R(\alpha)) = 8\alpha^2 - 24\alpha + 12, \\ y_1^{RL}(\alpha) &= f_1(x_1^R(\alpha), x_2^L(\alpha)) = 13\alpha^2 - 17\alpha, \\ y_1^{RR}(\alpha) &= f_1(x_1^R(\alpha), x_2^R(\alpha)) = 13\alpha^2 - 29\alpha + 12, \\ y_1^{L1}(\alpha) &= f_1(x_1^L(\alpha), x_{2,1}^*) = 4\alpha^2 - 10\alpha - 0.25, \\ y_1^{R1}(\alpha) &= f_1(x_1^R(\alpha), x_{2,1}^*) = 9\alpha^2 - 15\alpha - 0.25, \\ y_1^{1L}(\alpha) &= f_1(x_{1,1}^*, x_2^L(\alpha)) = 4\alpha^2 - 2\alpha - 6.25, \\ y_1^{1R}(\alpha) &= f_1(x_{1,1}^*, x_2^R(\alpha)) = 4\alpha^2 - 14\alpha + 5.75, \\ y_1^{*1} &= f_1(x_{1,1}^*, x_{2,1}^*) = -6.5. \end{aligned} \quad (11)$$

We can see from their plots in Figure 1 that, starting from the modal point at  $\alpha = 1$ , the left branch of the maximum envelope, illustrated by the gray area, is formed by  $y_1^{RL}$  for  $1 \geq \alpha > 0.8\bar{3}$ , by  $y_1^{1L}$  for  $0.8\bar{3} \geq \alpha > 0.25$ , and by  $y_1^{*1}$  for  $0.25 \geq \alpha > 0$ , where the value  $0.8\bar{3}$  corresponds to the intersection point between  $y_1^{RL}$  and  $y_1^{1L}$  and the value  $0.25$  to the intersection point between  $y_1^{1L}$  and  $y_1^{*1}$ . Its right branch, on the other hand, is entirely formed by  $y_1^{LR}$ . Hence, the  $\alpha$ -cuts  $y_1(\alpha) = [y_1^L(\alpha), y_1^R(\alpha)]$  of  $\tilde{y}_1$  are

$$y_1^L(\alpha) = \begin{cases} -6.5, & 0 < \alpha \leq 0.25, \\ 4\alpha^2 - 2\alpha - 6.25, & 0.25 < \alpha \leq 0.8\bar{3}, \\ 13\alpha^2 - 17\alpha, & 0.8\bar{3} < \alpha \leq 1, \end{cases} \quad (12)$$

$$y_1^R(\alpha) = 8\alpha^2 - 24\alpha + 12, \quad 0 < \alpha \leq 1.$$

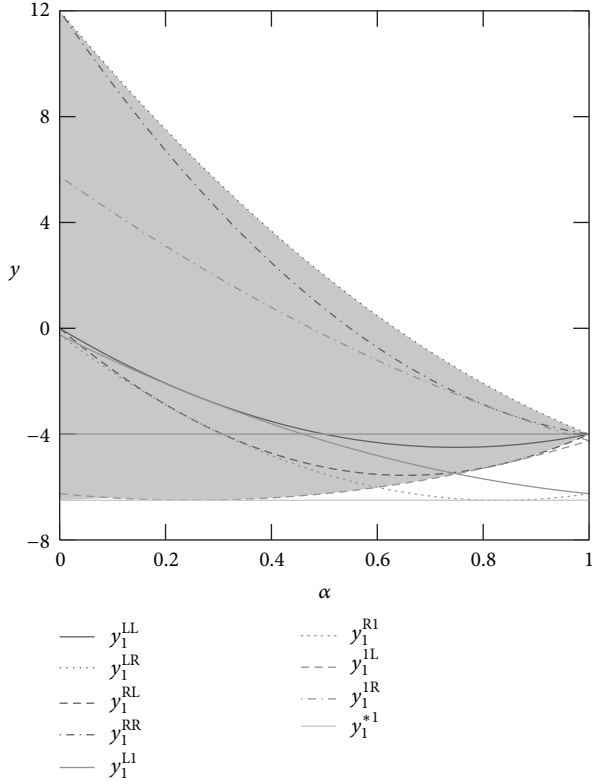


FIGURE 1: Solution candidates from Example 1.

With  $y_1^L(0.25) = -6.5$ ,  $y_1^L(0.8\bar{3}) = -5.13\bar{8}$ ,  $y_1^L(1) = -4 = y_1^R(1)$ , and  $y_1^R(0) = 12$ , the membership function of  $\tilde{y}_1$  yields

$$\mu_{\tilde{y}_1}(y) = \begin{cases} \frac{1}{4} + \frac{1}{4}\sqrt{4y+26}, & -6.5 < y \leq -5.13\bar{8}, \\ \frac{17}{26} + \frac{1}{26}\sqrt{52y+289}, & -5.13\bar{8} < y \leq -4, \\ \frac{3}{2} - \frac{1}{4}\sqrt{2y+12}, & -4 < y < 12. \end{cases} \quad (13)$$

**3.2. Extended Part.** Let the continuous function  $f$  be (strictly) monotonic increasing in  $x_i$ ,  $i = 1, \dots, k$ , (strictly) monotonic decreasing in  $x_j$ ,  $j = 1, \dots, \ell$ , monotonic in  $x_p$ ,  $p = 1, \dots, q$ , and nonmonotonic in  $x_t$ ,  $t = 1, \dots, u$ , in the domain of interest, with  $k + \ell + q + u = n$ . Then, the analytical solution can be obtained as follows.

- (1) Evaluate the function  $f$  for  $x_i^L(\alpha)$ ,  $i = 1, \dots, k$ , and  $x_j^R(\alpha)$ ,  $j = 1, \dots, \ell$ , including all the  $2^{q+u}$  permutations of the interval boundaries of  $x_p(\alpha) = [x_p^L(\alpha), x_p^R(\alpha)]$ ,  $p = 1, \dots, q$ , and  $x_t(\alpha) = [x_t^L(\alpha), x_t^R(\alpha)]$ ,  $t = 1, \dots, u$ , to compute the monotonic solution candidates for  $y^L(\alpha)$ .
- (2) Evaluate the function  $f$  for  $x_i^R(\alpha)$ ,  $i = 1, \dots, k$ , and  $x_j^L(\alpha)$ ,  $j = 1, \dots, \ell$ , including all the  $2^{q+u}$  permutations of the interval boundaries of  $x_p(\alpha) =$

$[x_p^L(\alpha), x_p^R(\alpha)]$ ,  $p = 1, \dots, q$ , and  $x_t(\alpha) = [x_t^L(\alpha), x_t^R(\alpha)]$ ,  $t = 1, \dots, u$ , to compute the monotonic solution candidates for  $y^R(\alpha)$ .

- (3) Evaluate the function  $f$  for  $x_i^L(\alpha)$ ,  $i = 1, \dots, k$ , and  $x_j^R(\alpha)$ ,  $j = 1, \dots, \ell$ , including all the  $us$  combinations of the interval boundaries of  $x_m(\alpha) = [x_m^L(\alpha), x_m^R(\alpha)]$ ,  $m = k + \ell + q + 1, \dots, n$ , with the components of the extreme points  $(x_{1,r}^*, \dots, x_{u,r}^*)$ ,  $r = 1, \dots, s$ , to compute the nonmonotonic solution candidates for  $y^L(\alpha)$ .
- (4) Evaluate the function  $f$  for  $x_i^R(\alpha)$ ,  $i = 1, \dots, k$ , and  $x_j^L(\alpha)$ ,  $j = 1, \dots, \ell$ , including all the  $us$  combinations of the interval boundaries of  $x_m(\alpha) = [x_m^L(\alpha), x_m^R(\alpha)]$ ,  $m = k + \ell + q + 1, \dots, n$  with the components of the extreme points  $(x_{1,r}^*, \dots, x_{u,r}^*)$ ,  $r = 1, \dots, s$ , to compute the nonmonotonic solution candidates for  $y^R(\alpha)$ .
- (5) Plot all solution candidates in the same diagram.
- (6) Finally, starting from the modal point  $\bar{y} = f(\bar{x}_1, \dots, \bar{x}_n)$  at  $\alpha = 1$ , construct the maximum envelope formed by the possible solution candidates for  $\alpha \rightarrow 0$  under the condition of convexity.

This *extended* part of our approach requires a total of  $2^{q+u+1} + 2us$  function evaluations.

**Example 2.** Now, the function  $f_2 : \mathbb{R}^2 \rightarrow \mathbb{R}$  with

$$y_2 = f_2(x_1, x_2) = x_1^2 + x_2^2 - 5x_1 \quad (14)$$

shall be evaluated for the two fuzzy numbers from Example 1. Since

$$\begin{aligned} \frac{\partial f_2}{\partial x_1} &= 2x_1 - 5, \\ \frac{\partial f_2}{\partial x_2} &= 2x_2 > 0, \end{aligned} \quad (15)$$

the function  $f_2$  is nonmonotonic in  $x_1$  with one (global) extremum at  $x_{1,1}^* = 2.5$  and (strictly) monotonic increasing in  $x_1$  in the domain  $\text{supp}(\tilde{x}_1) \times \text{supp}(\tilde{x}_2) = (0, 5) \times (0, 4)$ . Hence, the extended part of our approach should be applied. The monotonic solution candidates for  $y_2^L(\alpha)$  are

$$y_2^{LL}(\alpha) = f_2(x_1^L(\alpha), x_2^L(\alpha)) = 8\alpha^2 - 10\alpha, \quad (16)$$

$$y_2^{RL}(\alpha) = f_2(x_1^R(\alpha), x_2^L(\alpha)) = 13\alpha^2 - 15\alpha,$$

and for  $y_2^R(\alpha)$ ,

$$y_2^{LR}(\alpha) = f_2(x_1^L(\alpha), x_2^R(\alpha)) = 8\alpha^2 - 26\alpha + 16, \quad (17)$$

$$y_2^{RR}(\alpha) = f_2(x_1^R(\alpha), x_2^R(\alpha)) = 13\alpha^2 - 31\alpha + 16.$$

The nonmonotonic solution candidate for  $y_2^L(\alpha)$  is

$$y_2^{IL}(\alpha) = f_2(x_{1,1}^*, x_2^L(\alpha)) = 4\alpha^2 - 6.25, \quad (18)$$

and for  $y_2^R(\alpha)$ ,

$$y_2^{1R}(\alpha) = f_2(x_{1,1}^*, x_2^R(\alpha)) = 4\alpha^2 - 16\alpha + 9.75. \quad (19)$$

We can see from their plots in Figure 2 that, starting from the modal point at  $\alpha = 1$ , the left branch of the maximum envelope is formed by  $y_2^{RL}$  for  $1 \geq \alpha > 0.83$  and by  $y_2^{LL}$  for  $0.83 \geq \alpha > 0$ , where the value  $0.83$  corresponds to their intersection point. Its right branch, on the other hand, is entirely formed by  $y_2^{LR}$ . Hence, the  $\alpha$ -cuts  $y_2(\alpha) = [y_2^L(\alpha), y_2^R(\alpha)]$  of  $\tilde{y}_2$  are

$$y_2^L(\alpha) = \begin{cases} 4\alpha^2 - 6.25, & 0 < \alpha \leq 0.83, \\ 13\alpha^2 - 15\alpha, & 0.83 < \alpha \leq 1, \end{cases} \quad (20)$$

$$y_2^R(\alpha) = 8\alpha^2 - 26\alpha + 16, \quad 0 < \alpha \leq 1.$$

With  $y_2^L(0) = -6.25$ ,  $y_2^L(0.83) = -3.472$ ,  $y_2^L(1) = -2 = y_2^R(1)$ , and  $y_2^R(0) = 16$ , the membership function of  $\tilde{y}_2$  yields

$$\mu_{\tilde{y}_2}(y) = \begin{cases} \frac{1}{4}\sqrt{4y+25}, & -6.25 < y \leq -3.472, \\ \frac{15}{26} + \frac{1}{26}\sqrt{52y+225}, & -3.472 < y \leq -2, \\ \frac{13}{8} - \frac{1}{8}\sqrt{8y+41}, & -2 < y < 16. \end{cases} \quad (21)$$

#### 4. Engineering Application

In order to illustrate the analytical approach in a more practical context, we consider a linear system with one degree of freedom consisting of a block with mass  $m$  moving on a smooth surface as shown in Figure 3. The block is connected to a wall via a linear spring with spring constant  $k$ . This system is governed by the following linear, homogeneous ordinary differential equation of second order with constant coefficients [7]:

$$\ddot{x} + \omega^2 x = 0. \quad (22)$$

Here,

$$\omega = \sqrt{\frac{k}{m}} \quad (23)$$

denotes the *natural frequency* of the system. The general solution of (22) is given by

$$x(t) = x_0 \cos(\omega t) + \frac{\dot{x}_0}{\omega} \sin(\omega t), \quad (24)$$

where  $x_0 = x(0)$  and  $\dot{x}_0 = \dot{x}(0)$  denote the initial conditions.

We assume  $x_0$  and  $\omega$  to be uncertain, both described by fuzzy numbers. More specifically, the uncertain initial position is modeled by the (symmetric) triangular fuzzy number

$$\tilde{x}_0 = \text{tfn}(1, 0.5, 0.5) \text{ cm} \quad (25)$$

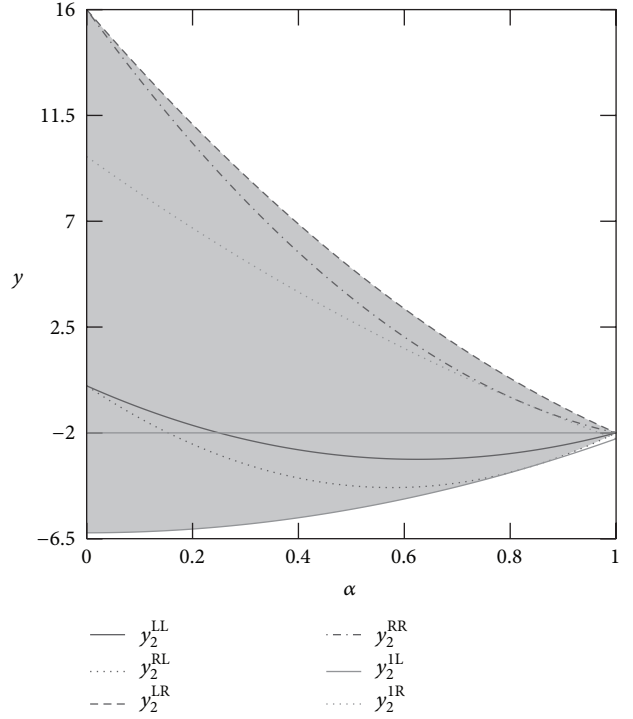


FIGURE 2: Solution candidates from Example 2.

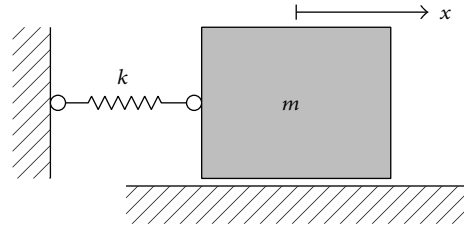


FIGURE 3: Mass-spring system.

and the uncertain natural frequency by the (symmetric) Gaussian fuzzy number

$$\tilde{\omega} = \text{gfn}(1, 0.05, 0.05) \text{ Hz}. \quad (26)$$

Furthermore, we assume  $\dot{x}_0 = 0$ . We are interested in the uncertain position of the mass after one period ( $t = 2\pi$ ).

Since

$$\frac{\partial x}{\partial x_0} = \cos(2\pi\omega) \geq 0, \quad (27)$$

$$\frac{\partial x}{\partial \omega} = -2\pi x_0 \sin(2\pi\omega),$$

$x$  is (strictly) monotonic increasing in  $x_0$  and nonmonotonic in  $\omega$  in the domain  $\text{supp}(\tilde{x}_0) \times \text{supp}(\tilde{\omega}) \cap \mathbb{R}_+^2$  with an infinite number of local extrema  $\omega_i^* = i/2$ ,  $i \in \mathbb{N}_0$ . Hence, the extended part of our approach should be applied. The monotonic solution candidates for  $x^L(\alpha)$  are

$$x^{LL}(\alpha) = x^{LR}(\alpha) = (1 - 0.5(1 - \alpha)) \cos(0.1\pi\sqrt{-2\ln(\alpha)}), \quad (28)$$

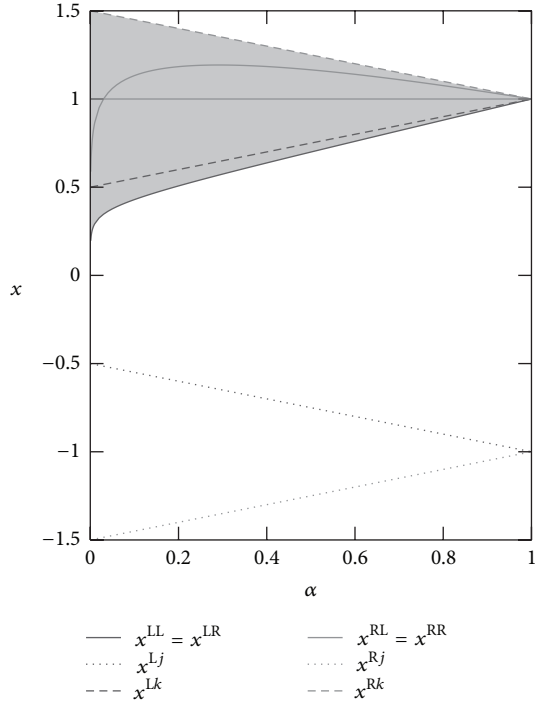


FIGURE 4: Solution candidates for  $x(\alpha)$ .

and for  $x^R(\alpha)$ ,

$$x^{RL}(\alpha) = x^{RR}(\alpha) = (1 + 0.5(1 - \alpha)) \cos(0.1\pi\sqrt{-2\ln(\alpha)}). \quad (29)$$

The nonmonotonic solution candidates for  $x^L(\alpha)$ , on the other hand, are

$$x^{Lj}(\alpha) = -(1 - 0.5(1 - \alpha)), \quad j = 2\ell + 1, \ell \in \mathbb{N}_0, \quad (30)$$

$$x^{Lk}(\alpha) = +(1 - 0.5(1 - \alpha)), \quad k = 2\ell + 2, \ell \in \mathbb{N}_0,$$

and for  $x^R(\alpha)$ ,

$$x^{Rj}(\alpha) = -(1 + 0.5(1 - \alpha)), \quad j = 2\ell + 1, \ell \in \mathbb{N}_0, \quad (31)$$

$$x^{Rk}(\alpha) = +(1 + 0.5(1 - \alpha)), \quad k = 2\ell + 2, \ell \in \mathbb{N}_0.$$

We can see from their plots in Figure 4 that the left branch of the maximum envelope is formed by  $x^{LL} = x^{LR}$  and the right branch by  $x^{RL}$ . Hence, the  $\alpha$ -cuts  $x(\alpha) = [x^L(\alpha), x^R(\alpha)]$  of  $\tilde{x}$  are

$$\begin{aligned} x^L(\alpha) &= (1 - 0.5(1 - \alpha)) \cos(0.1\pi\sqrt{-2\ln(\alpha)}), \\ x^R(\alpha) &= 1 + 0.5(1 - \alpha). \end{aligned} \quad (32)$$

Since  $x^L(\alpha)$  in (32) is not invertible with respect to  $\alpha$ , it is not possible to give an analytical expression for the membership function of  $\tilde{x}$ . However, the  $\alpha$ -cuts and the membership function are both equivalent representations of a fuzzy number. The inverted plots of (32) are illustrated in Figure 5.

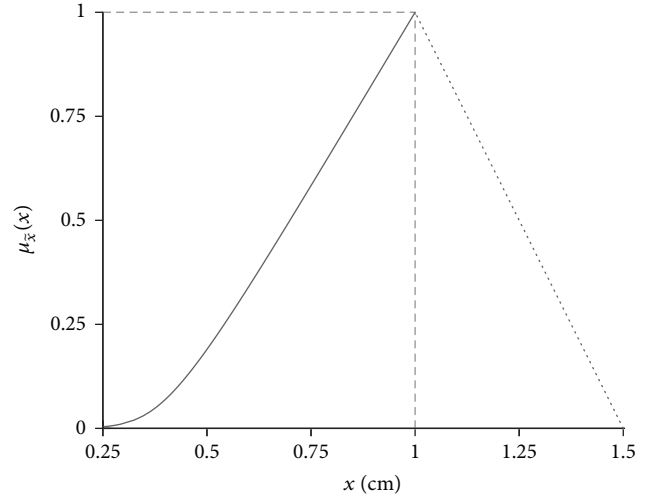


FIGURE 5: Membership function of  $\tilde{x}$ .

## 5. Conclusions

We extended our analytical approach from [1] to general, nonmonotonic functions of independent fuzzy numbers. It is based on an  $\alpha$ -cut formulation of the extension principle and allows for the inclusion of parameter uncertainties into mathematical models.

In further research activities, the influence of interdependency may be a subject of investigation.

## Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

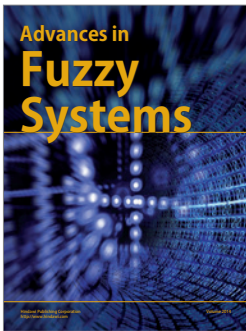
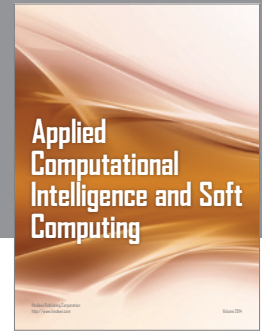
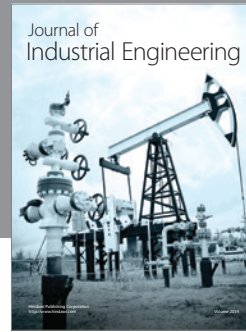
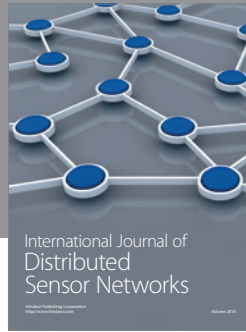
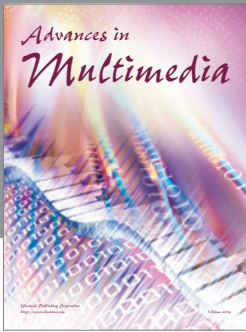
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